



Building College-University
Partnerships for Nanotechnology
Workforce Development

Solar Cell Optics

Outline

- What is Light?
- Light Absorption
- Interaction of Light with Matter
 - Reflection/Refraction
 - Fabry Perot resonances
 - Waveguiding
 - Diffraction / Photonic Crystals
 - Plasmonics
 - Mie Scattering

What is Light?

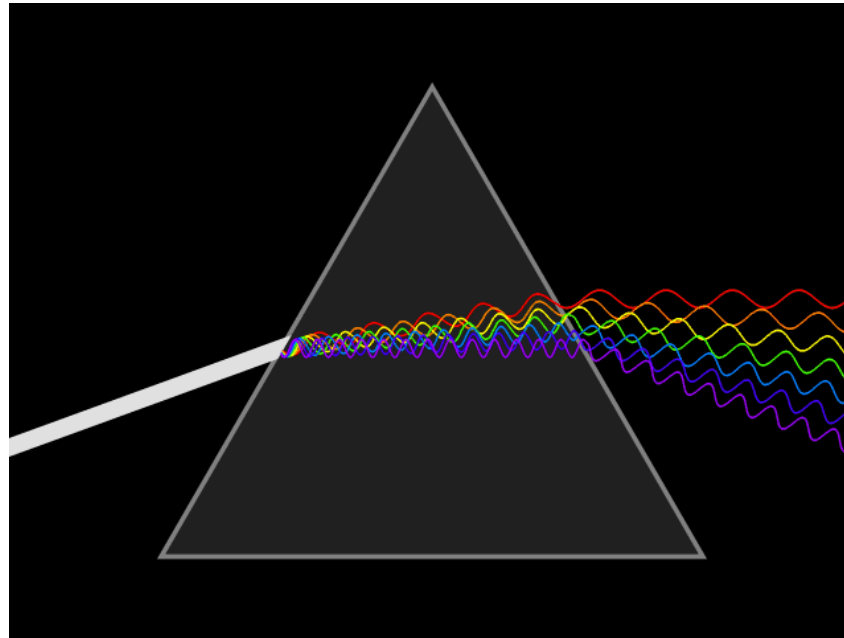


Fig. 1

Light: Electromagnetic wave radiation

- Fig. 1 taken from Wikipedia: http://en.wikipedia.org/wiki/Wavelength#mediaviewer/File:Light_dispersion_conceptual_waves.gif

What is Light?

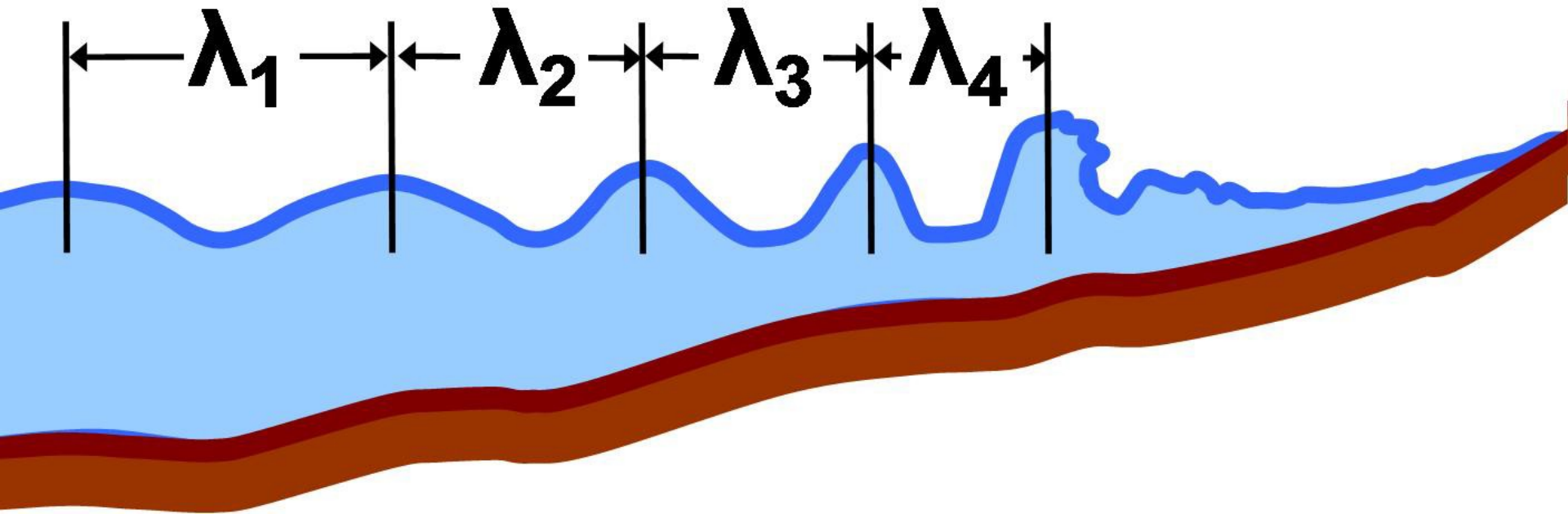


Fig. 2

Resemblance to ocean waves?

- Fig. 2 taken from Wikimedia: http://upload.wikimedia.org/wikipedia/commons/d/d7/Local_wavelength.JPG

What is Light?

E: Electric field
 B: Magnetic flux density
 c: speed of light
 f, ν : frequency of light
 h: Planck's constant
 ω : angular frequency

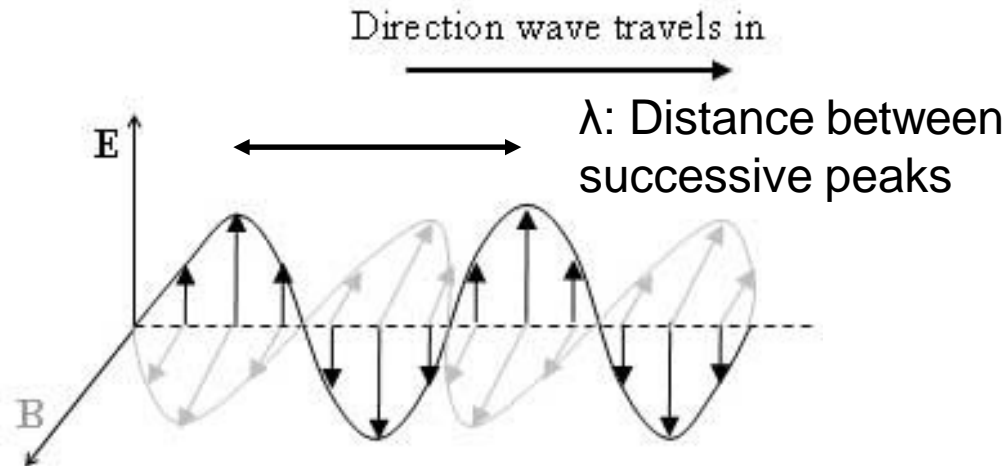


Fig. 3

f: number of cycles per second
 passing a fixed point

$$\lambda = \frac{c}{f} = \frac{c}{\nu} \quad : \text{wavelength}$$

$$E = h\nu = \frac{h}{2\pi} 2\pi f = \hbar\omega \quad : \text{Energy}$$

- Fig. 3 original: <http://www.sipex.aq/access/page/index.html%3Fpage=03040cd0-bfaa-102a-8ea7-0019b9ea7c60.html>

What is Light?

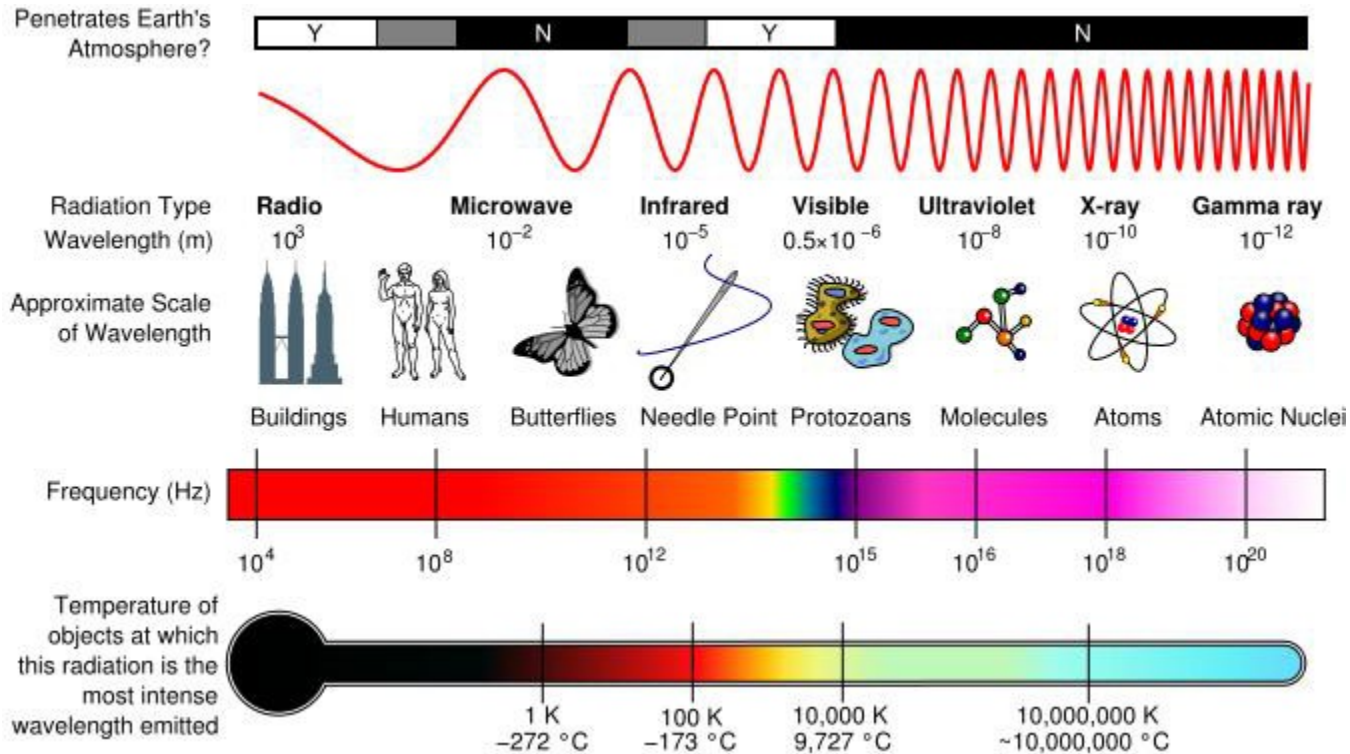
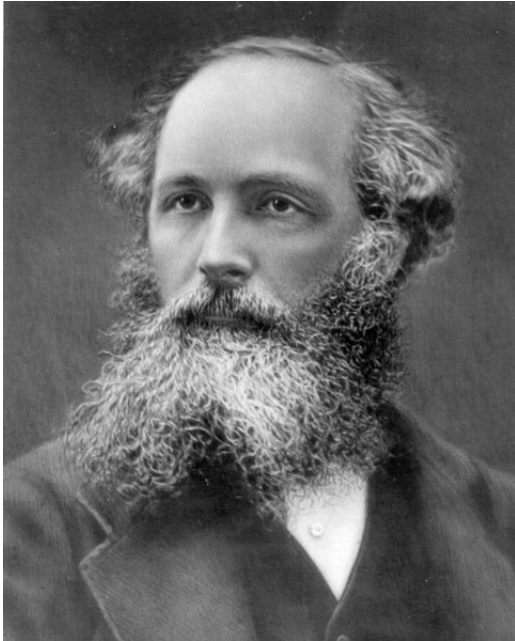


Fig. 4

- Fig. 4 taken from: <https://wikis.engrade.com/a121biology2012/visualcommunication>

What is Light?



James Clerk Maxwell

(original image: <http://www.st-andrews.ac.uk/~ulf/perfectimaging.html>)

$$\nabla \times \vec{E}(\vec{r}, t) = -\frac{\partial \vec{B}(\vec{r}, t)}{\partial t} - \vec{M}(\vec{r}, t)$$

$$\nabla \times \vec{H}(\vec{r}, t) = \frac{\partial \vec{D}(\vec{r}, t)}{\partial t} + \vec{J}(\vec{r}, t)$$

$$\nabla \cdot \vec{B}(\vec{r}, t) = \rho_m(\vec{r}, t)$$

$$\nabla \cdot \vec{D}(\vec{r}, t) = \rho_e(\vec{r}, t)$$

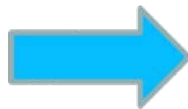
Maxwell's Equations

\vec{r} : position (x,y,z)

t : time

$$\vec{D}(\vec{r}, t) = \epsilon_0 \vec{E}(\vec{r}, t) + \vec{p}$$

$$\vec{B}(\vec{r}, t) = \mu_0 \vec{H}(\vec{r}, t) + \vec{m}$$

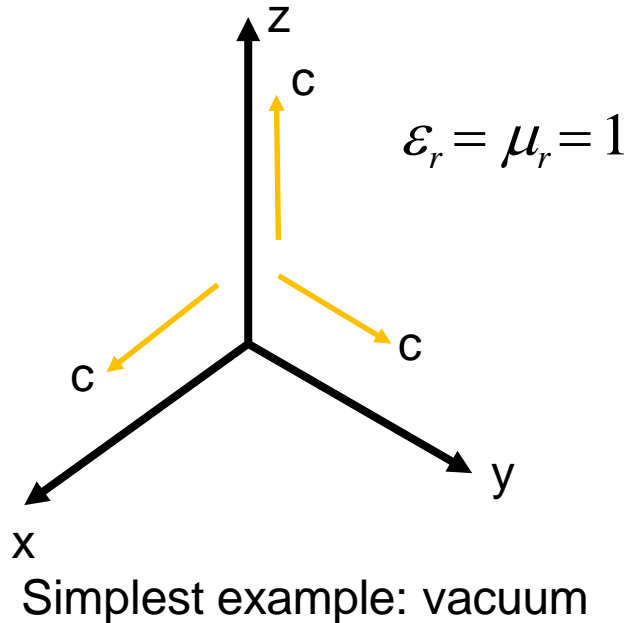


$$\vec{D}(\vec{r}, t) = \epsilon_0 \epsilon_r \vec{E}(\vec{r}, t)$$

$$\vec{B}(\vec{r}, t) = \mu_0 \mu_r \vec{H}(\vec{r}, t)$$

: Light in bulk, homogenous, isotropic media

What is Light?



$$\nabla \times \vec{E}(\vec{r}, t) = -\frac{\partial \vec{B}(\vec{r}, t)}{\partial t} - \vec{M}(\vec{r}, t)$$

$$\nabla \times \vec{H}(\vec{r}, t) = \frac{\partial \vec{D}(\vec{r}, t)}{\partial t} + \vec{J}(\vec{r}, t)$$

$$\nabla \cdot \vec{B}(\vec{r}, t) = \rho_m(\vec{r}, t)$$

$$\nabla \cdot \vec{D}(\vec{r}, t) = \rho_e(\vec{r}, t)$$

Maxwell's Equations

$$\vec{F}(\vec{r}, t) = \text{Re}[\vec{F}(\vec{r})e^{j\omega t}] : \text{Harmonic, monochromatic fields}$$

Tip

$$\nabla \times \vec{E}(\vec{r}, t) \propto \partial \vec{E}(\vec{r}, t) / \partial t$$

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\} : \text{E-field (V/m)}$$

$$I(\vec{r}) = E_0^2 : \text{Intensity (V}^2\text{/m}^2\text{)}$$

What is light?

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\}$$

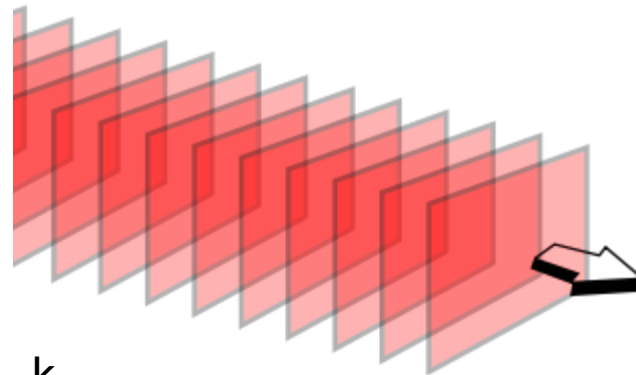
$$I(\vec{r}) = E_0^2$$

$$\vec{E}(\vec{r}, t) = E_0 \cos(\vec{k} \cdot \vec{r} - \omega t)$$

$\vec{k} \cdot \vec{r} - \omega t$

: Phase term

Plane waves (planar wavefronts)



k: wave vector

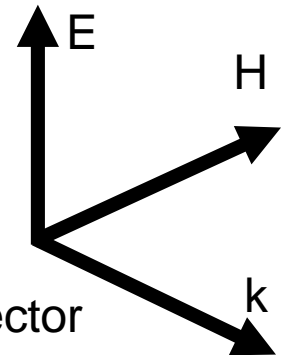


Fig. 5

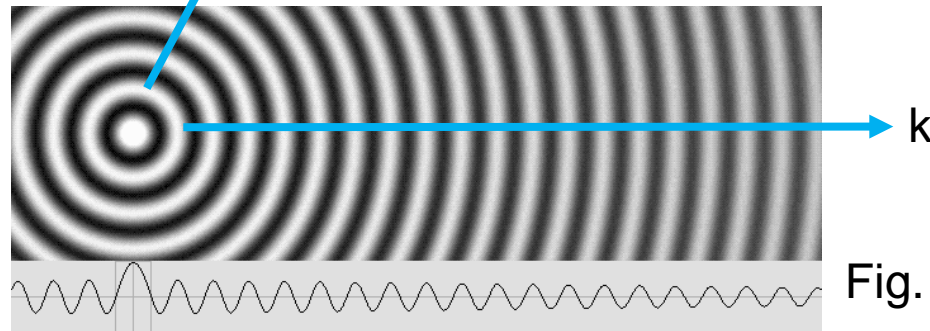


Fig. 6

- Fig. 5 original: http://upload.wikimedia.org/wikipedia/commons/2/20/Plane_wave_wavefronts_3D.svg
- Fig. 6 original: <http://www.mysearch.org.uk/website3/html/12%20The%20Electron%20Phase%20Shift.htm>

What is Light?

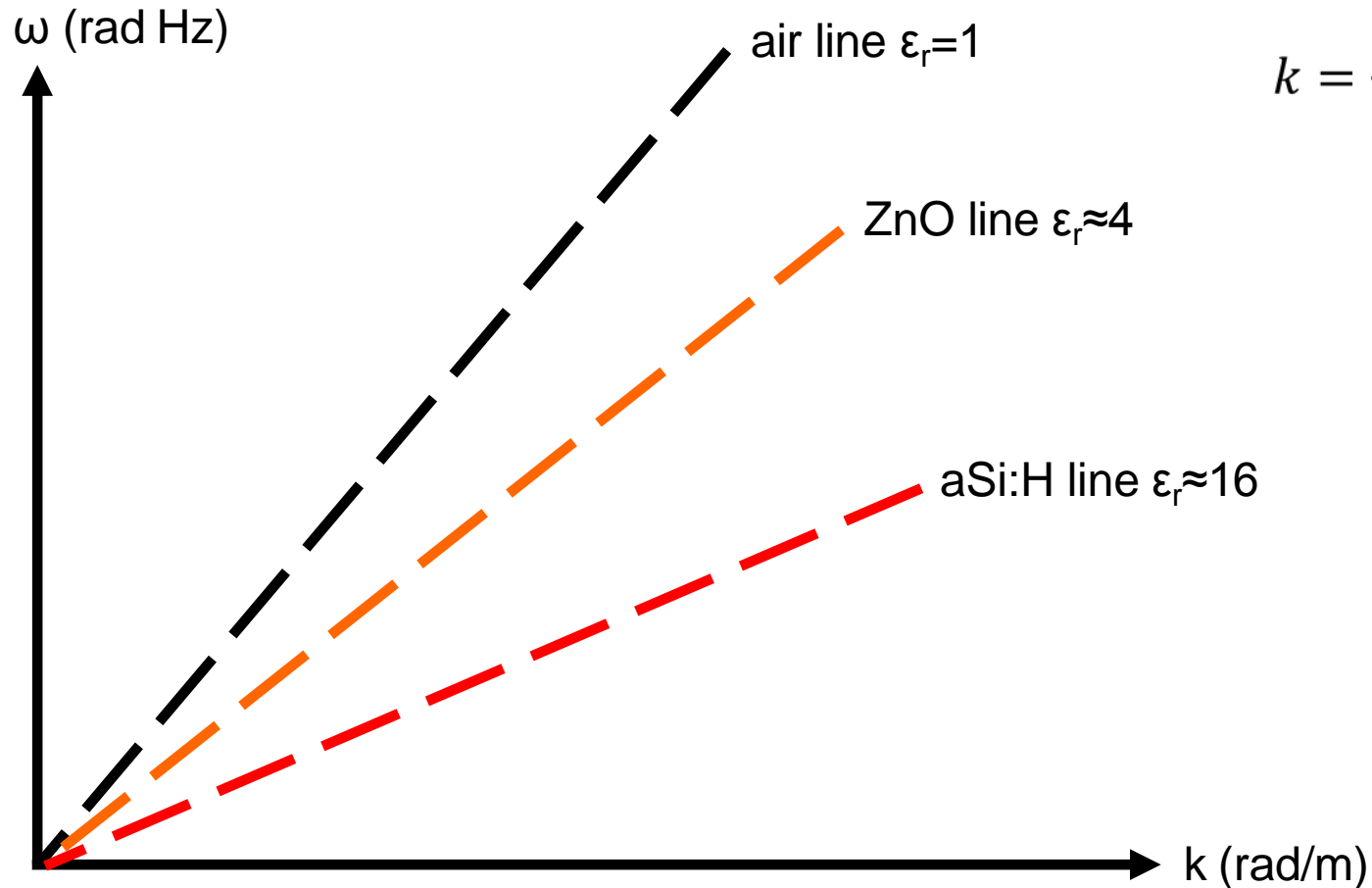
$$k = \frac{\omega}{c} = \frac{2\pi}{\lambda} \quad : \text{Dispersion relation } (\omega\text{-}k) \text{ for plane wave in free space}$$

$$c = \frac{1}{\sqrt{\epsilon_0 \mu_0}} \approx 3 \times 10^8 \quad : \text{speed of light in free space}$$

$$v = \frac{1}{\sqrt{\epsilon_0 \epsilon_r \mu_0 \mu_r}} < 3 \times 10^8 \quad : \text{phase velocity in a medium with } \epsilon_r \text{ or } \mu_r > 1$$

$$\sqrt{\epsilon_r \mu_r} = n \sim \sqrt{\epsilon_r} \quad : \text{refractive index of the medium}$$

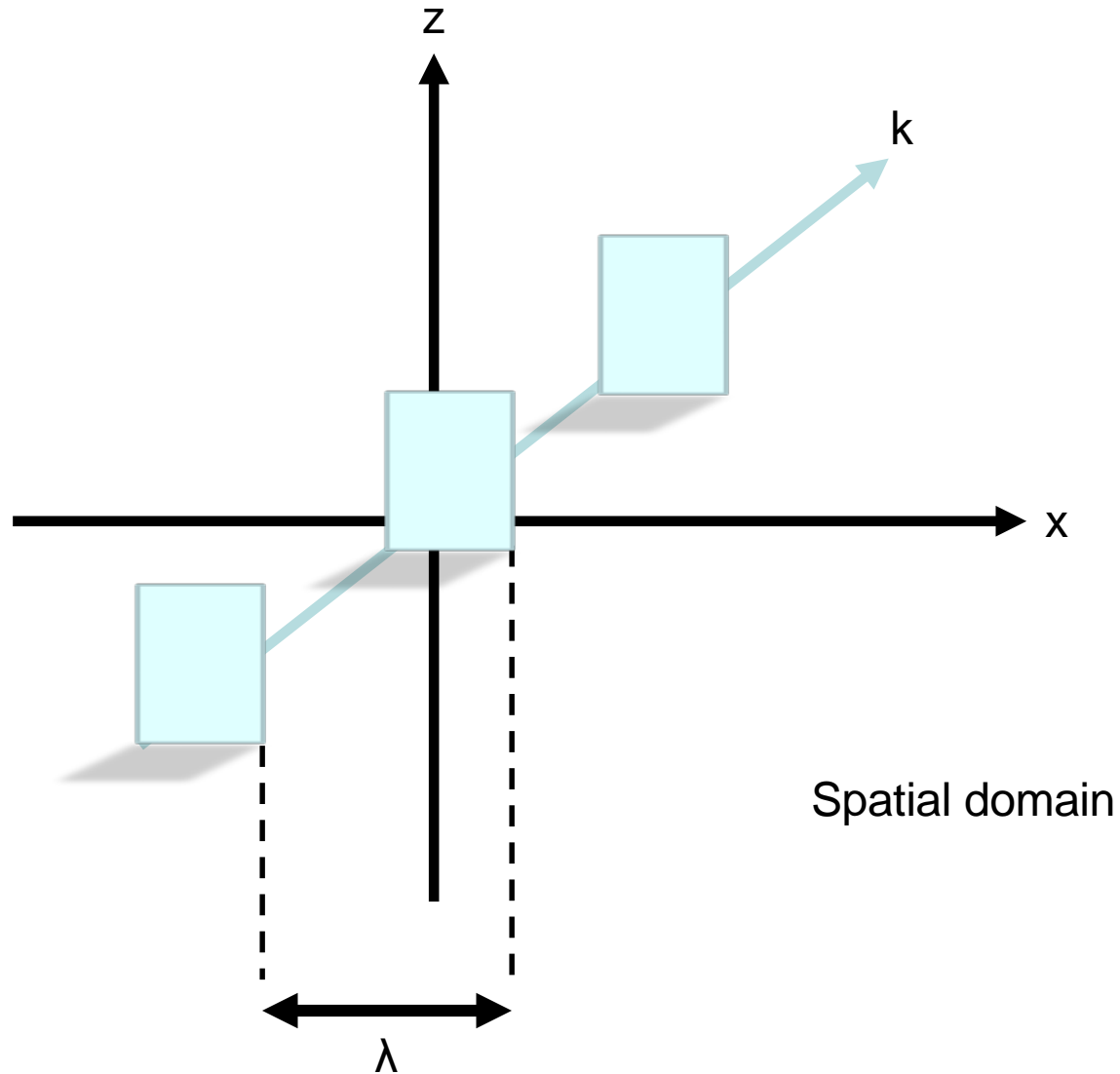
What is Light?



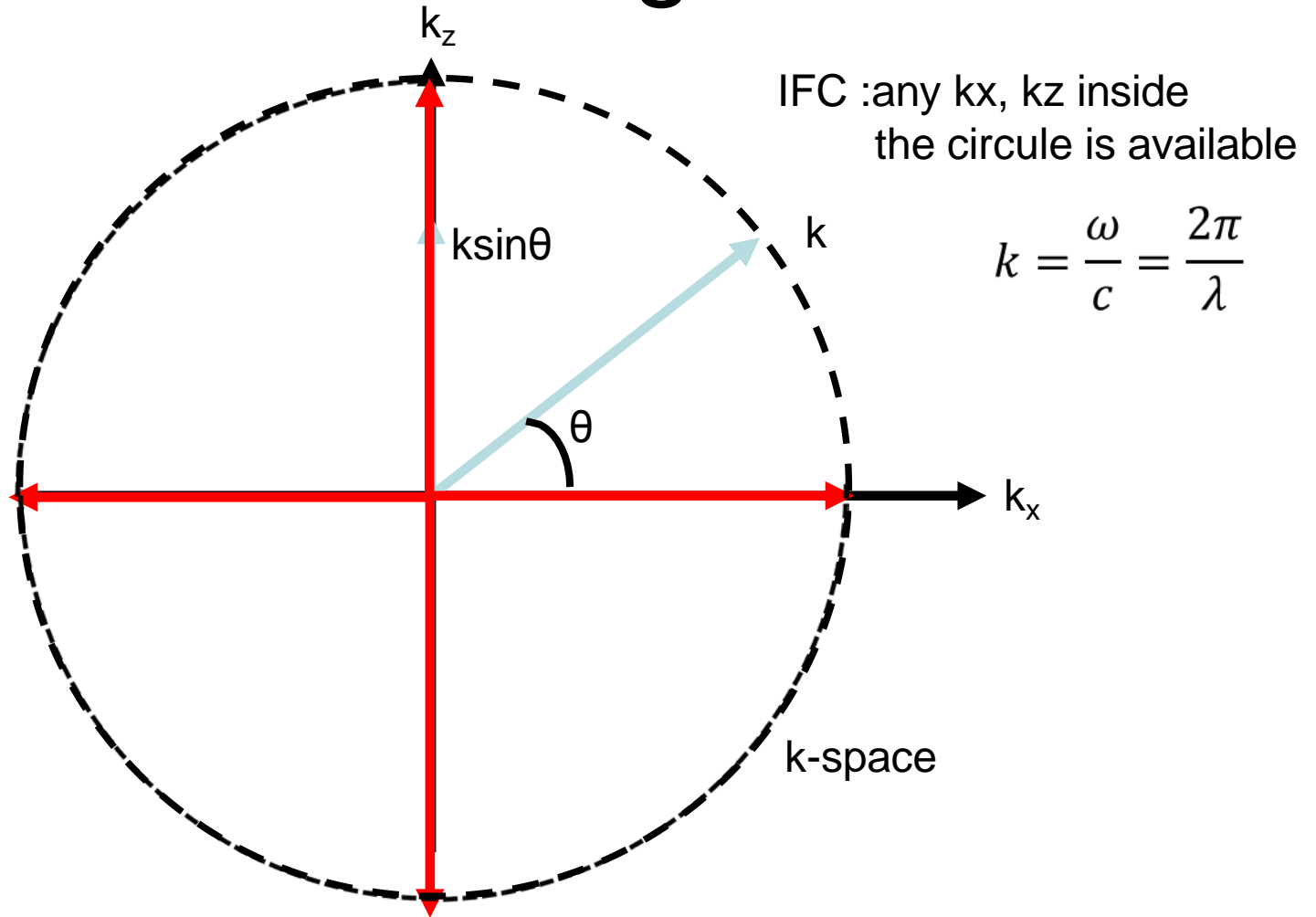
$$k = \frac{\omega}{c} = \frac{2\pi}{\lambda}$$

Dispersion lines in bulk material

What is Light?



What is Light?



What is Light?

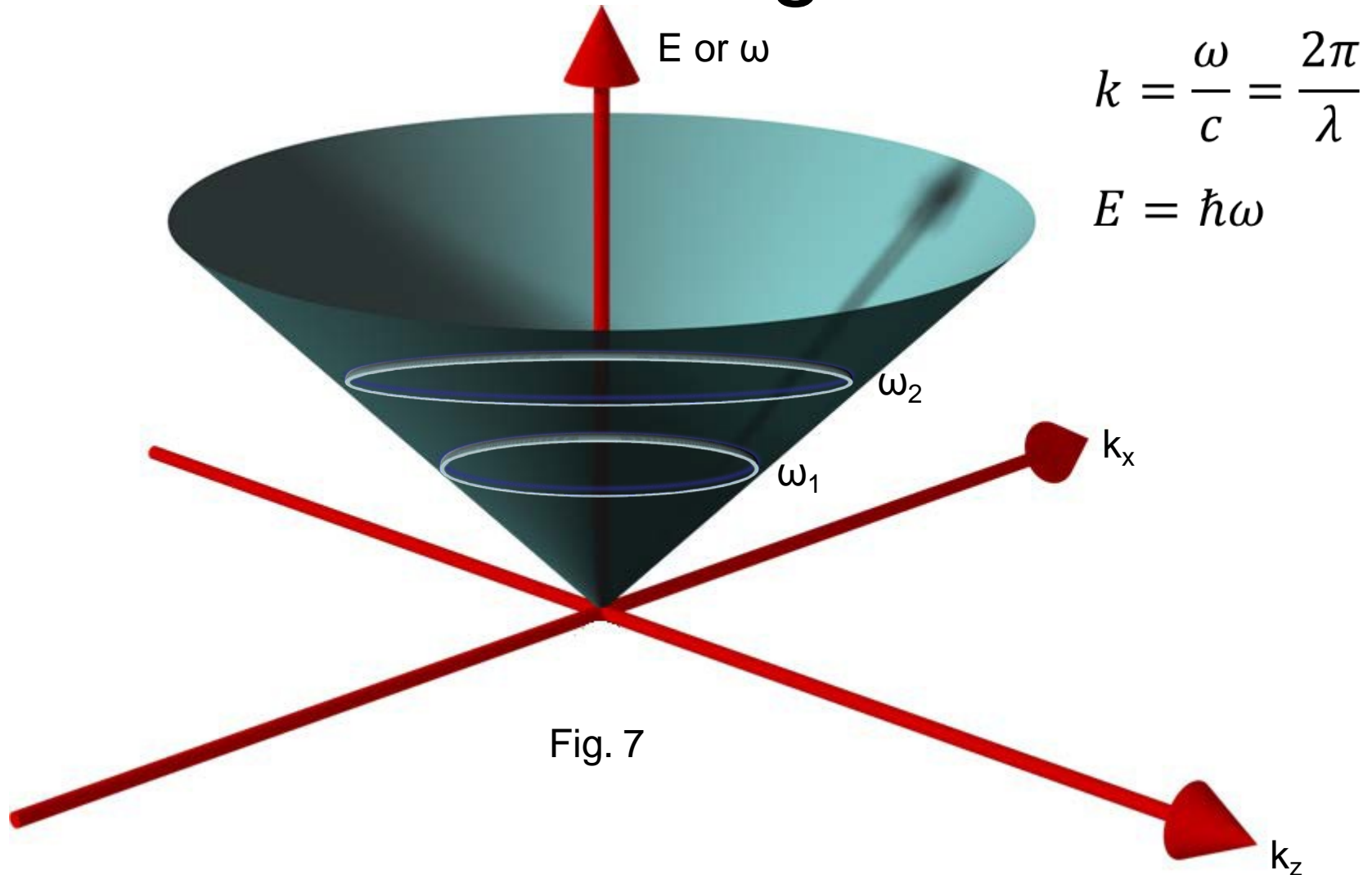


Fig. 7

- Fig. 7 original: <http://upload.wikimedia.org/wikipedia/commons/7/72/DoubleCone.png>

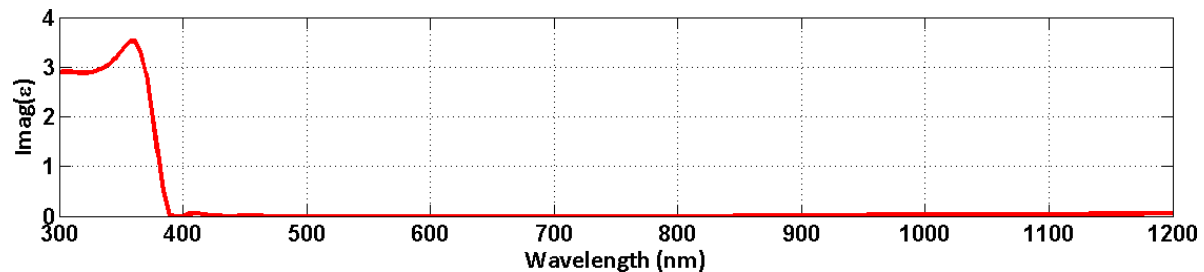
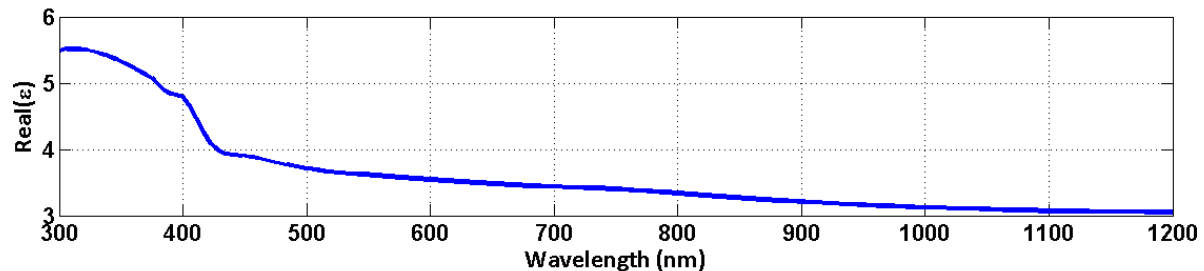
Light Absorption

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\} \quad k = \frac{\omega}{v} = \frac{\omega}{c} n = \frac{\omega}{c} \sqrt{\epsilon_r} : \text{What if } \epsilon_r \text{ is complex ?}$$

$$I(\vec{r}) = E_0^2$$

$$\sqrt{\epsilon_r} = \sqrt{\epsilon_{real} - j\epsilon_{imag}} = n' - jk'$$

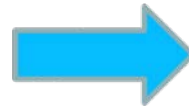
AZO



Light Absorption

Let us assume propagation

along x



$$\vec{k} \cdot \vec{r} \Rightarrow \frac{\omega}{c} n \times x = \frac{2\pi}{\lambda} (n' - jk')x$$

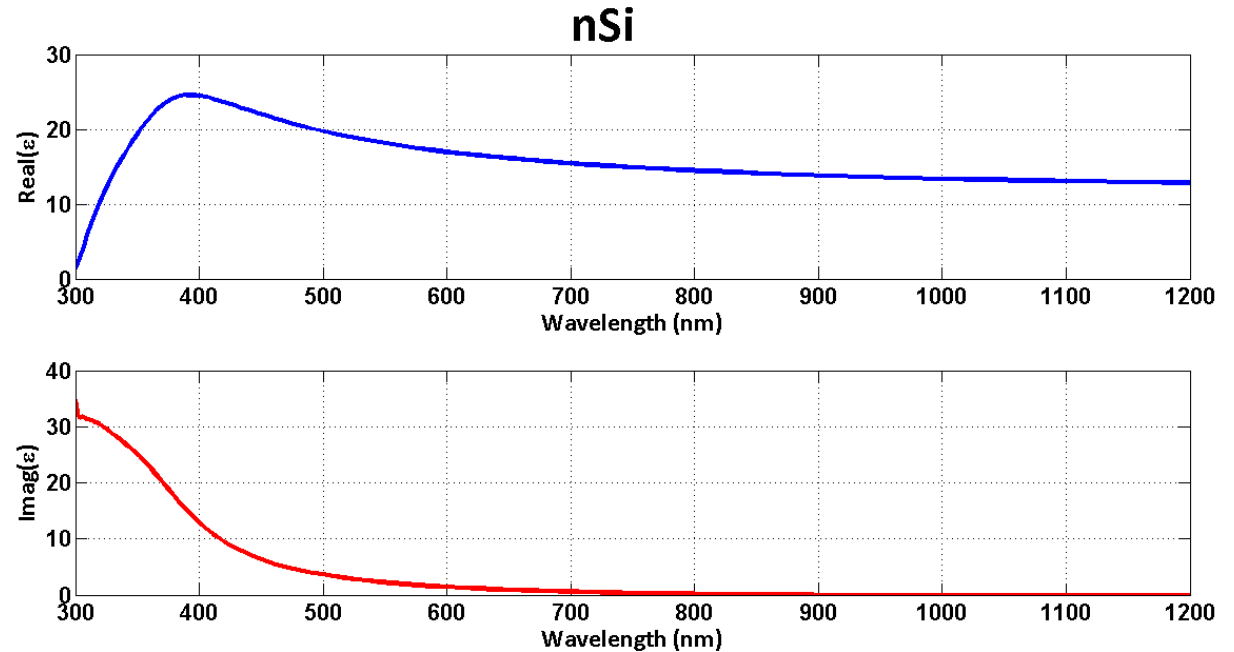
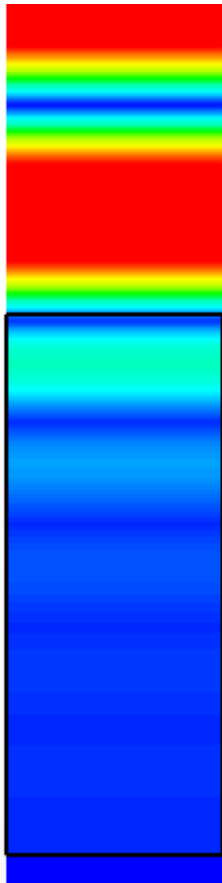
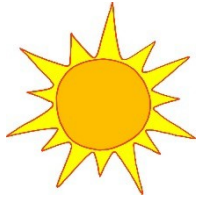
$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\}$$
$$I(\vec{r}) = E_0^2$$

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\} = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\frac{2\pi}{\lambda} (n' - jk')x} \right\}$$

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\frac{2\pi}{\lambda} n' x} e^{-\frac{2\pi}{\lambda} k' x} \right\}$$

$$I(\vec{r}) = E_0^2 e^{-\frac{4\pi}{\lambda} k' x} = E_0^2 e^{-\alpha x}$$

Light Absorption



$$I(x) = E_0^2 e^{-\frac{4\pi}{\lambda} k' x} = E_0^2 e^{-\alpha x}$$

Light Absorption

$$A(\lambda) = 1 - R(\lambda) - T(\lambda)$$

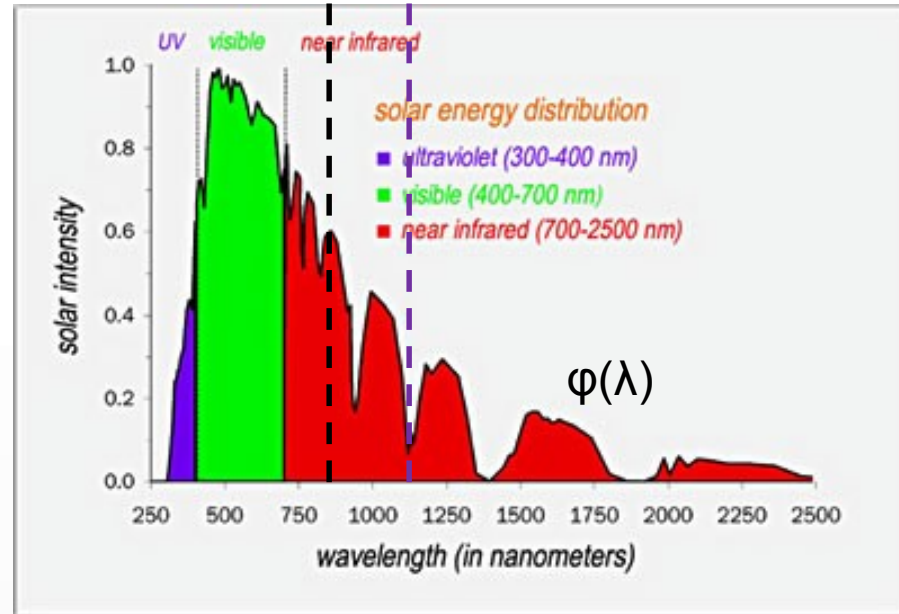
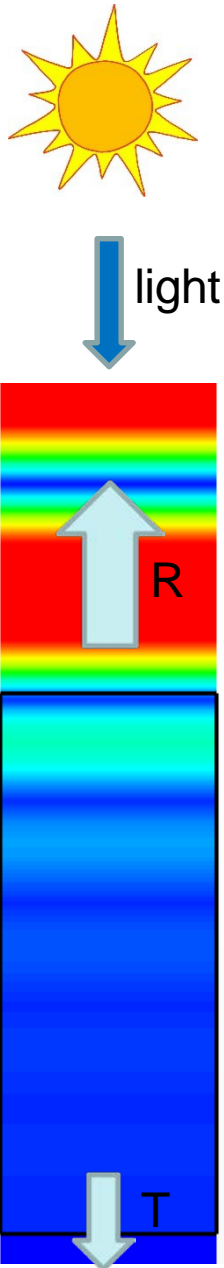


Fig. 8

- Fig. 8 taken from: <http://solarjourneyusa.com/sunlight.php>

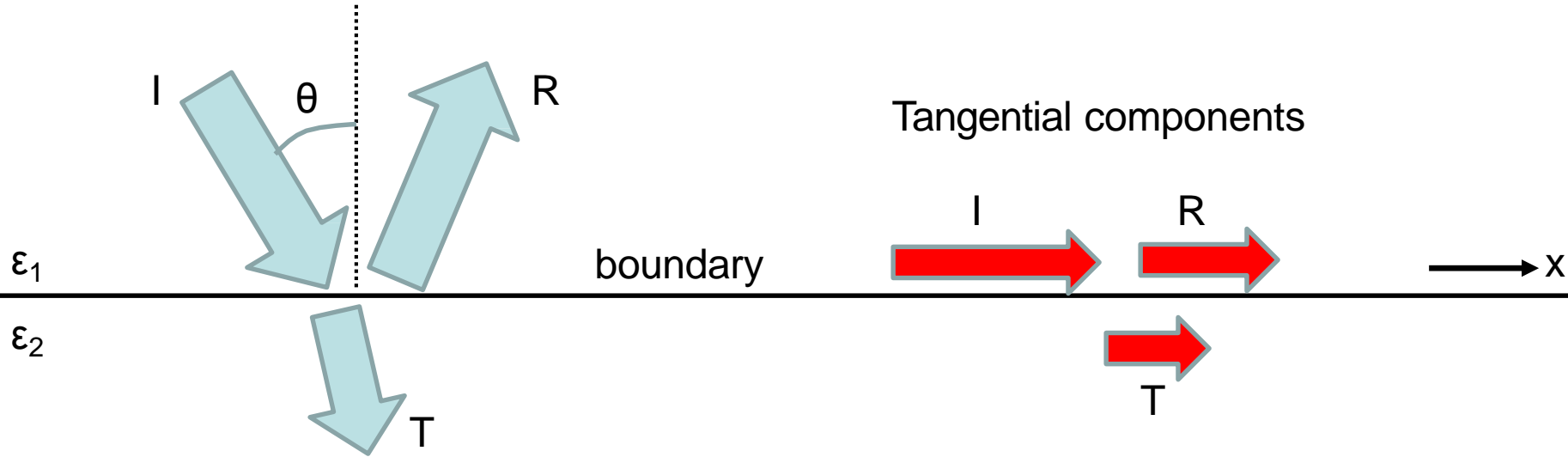
$$J_{sc} \propto \int_{300nm}^{cut-off} A(\lambda) \phi(\lambda) d\lambda$$

: short-circuit current (mA/cm²)



Interaction of Light with Matter

Reflection/Refraction



$$E_I = E_0 e^{j\omega t} e^{-jk_1 \sin \theta x}, E_R = rE_0 e^{j\omega t} e^{-jk_1 \sin \phi x}, E_T = tE_0 e^{j\omega t} e^{-jk_2 \sin \phi x}$$

$$E_I + E_R = E_T$$

$$E_0 e^{j\omega t} e^{-jk_1 \sin \theta x} + rE_0 e^{j\omega t} e^{-jk_1 \sin \phi x} = tE_0 e^{j\omega t} e^{-jk_2 \sin \phi x}$$

Must be satisfied for all r and t

Interaction of Light with Matter

Reflection/Refraction

$$k_1 \sin \theta = k_1 \sin \phi = k_2 \sin \varphi \Rightarrow \theta = \phi$$

$$\Rightarrow \frac{\omega}{c} n_1 \sin \theta = \frac{\omega}{c} n_2 \sin \varphi \Rightarrow n_1 \sin \theta = n_2 \sin \varphi$$



Fig. 9



Fig. 10

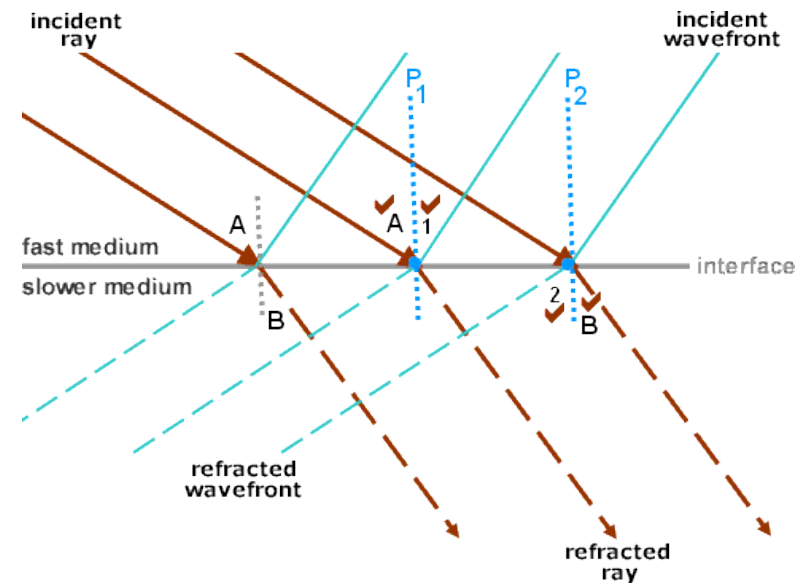


Fig. 11

- Fig. 9 taken from: http://en.wikipedia.org/wiki/Willebrord_Snellius#mediaviewer/File:Willebrord_Snellius.jpg
 - Fig. 10 taken from: http://mathforum.org/mathimages/index.php/Snell's_Law
 - Fig. 11 taken from: http://dev.physicslab.org/Document.aspx?doctype=3&filename=GeometricOptics_SnellsLawDerivation.xml
- www.nano4me.org © 2018 The Pennsylvania State University

Interaction of Light with Matter

Reflection/Refraction

Specular Reflection

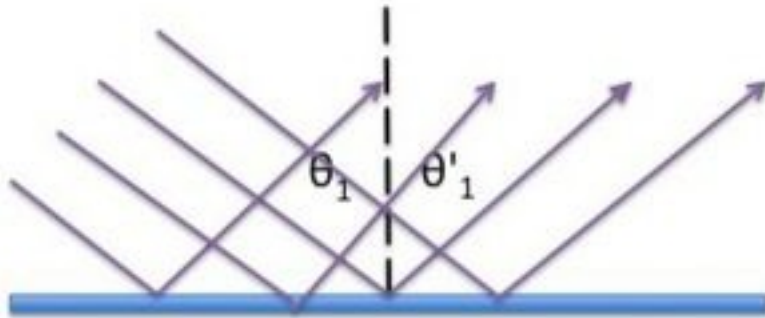


Fig. 12

Diffuse Reflection

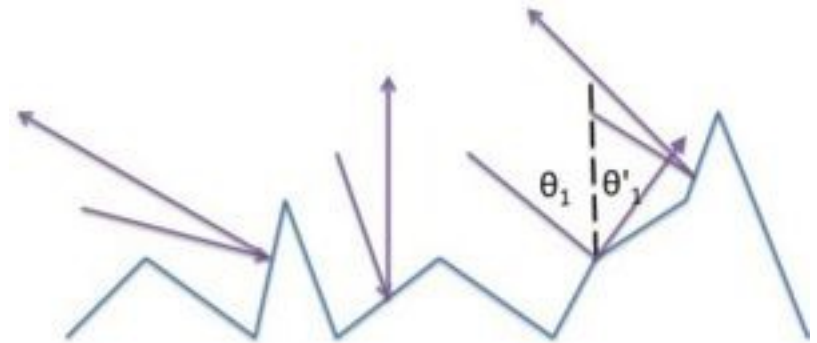


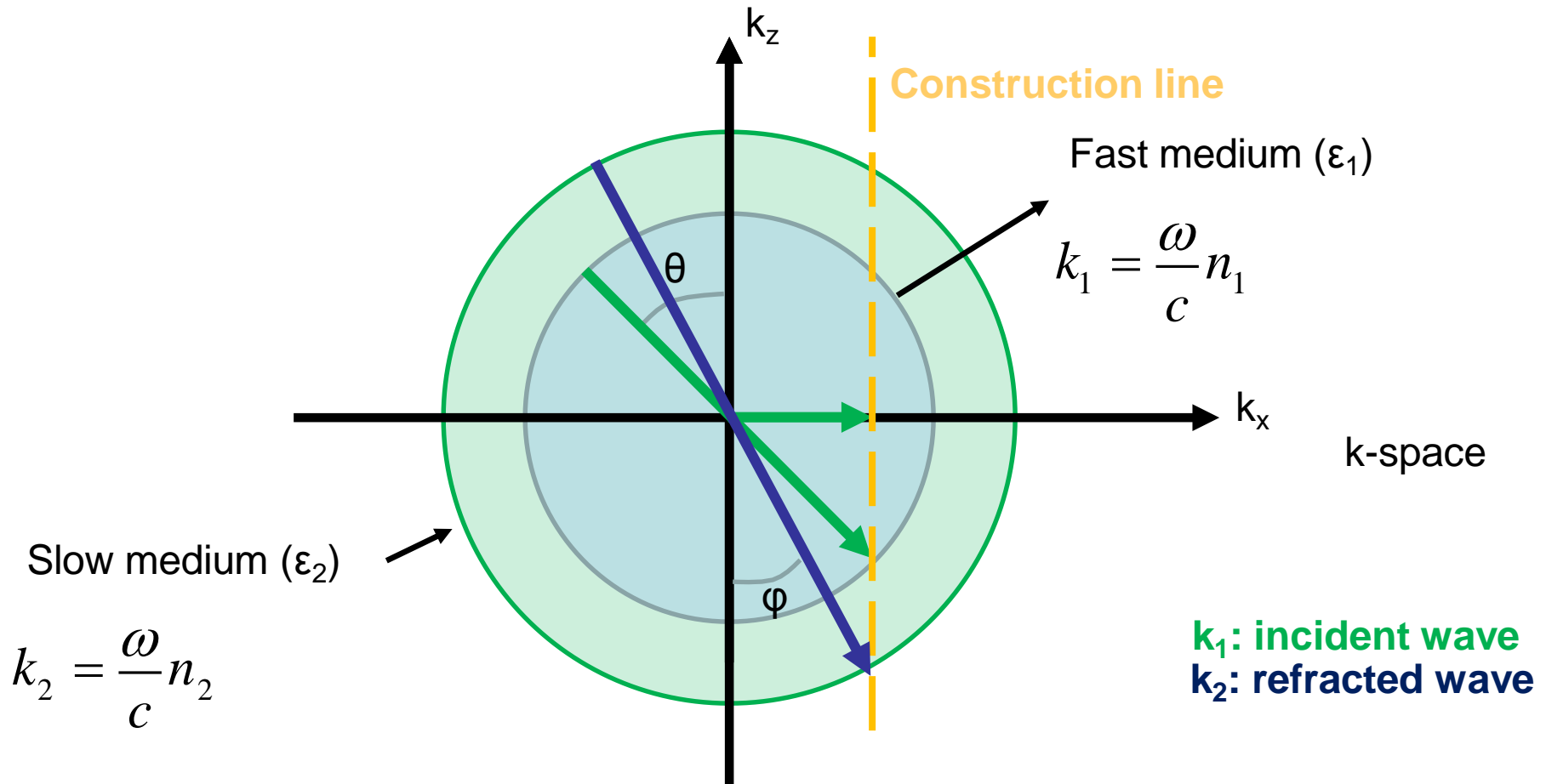
Fig. 13

- Fig. 12 and 13 taken from: http://mathforum.org/mathimages/index.php/Snell's_Law

Interaction of Light with Matter

Reflection/Refraction

Understanding Refraction with IFCs



Interaction of Light with Matter

Reflection/Refraction



Fig. 14

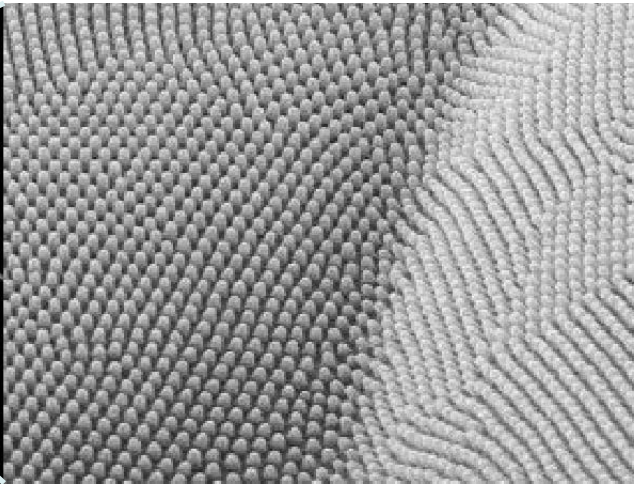


Fig. 15

Moth's eye under SEM

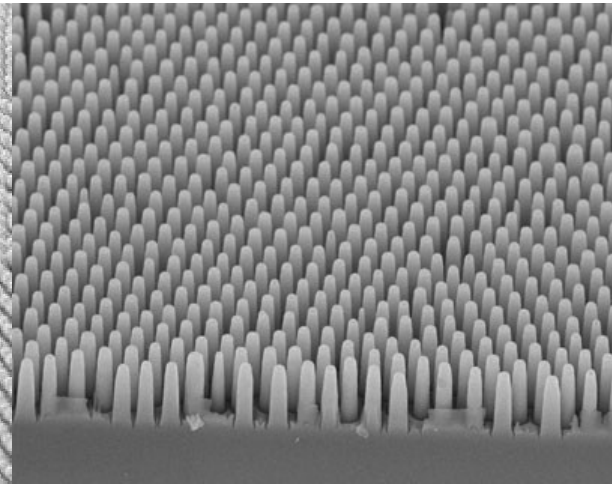


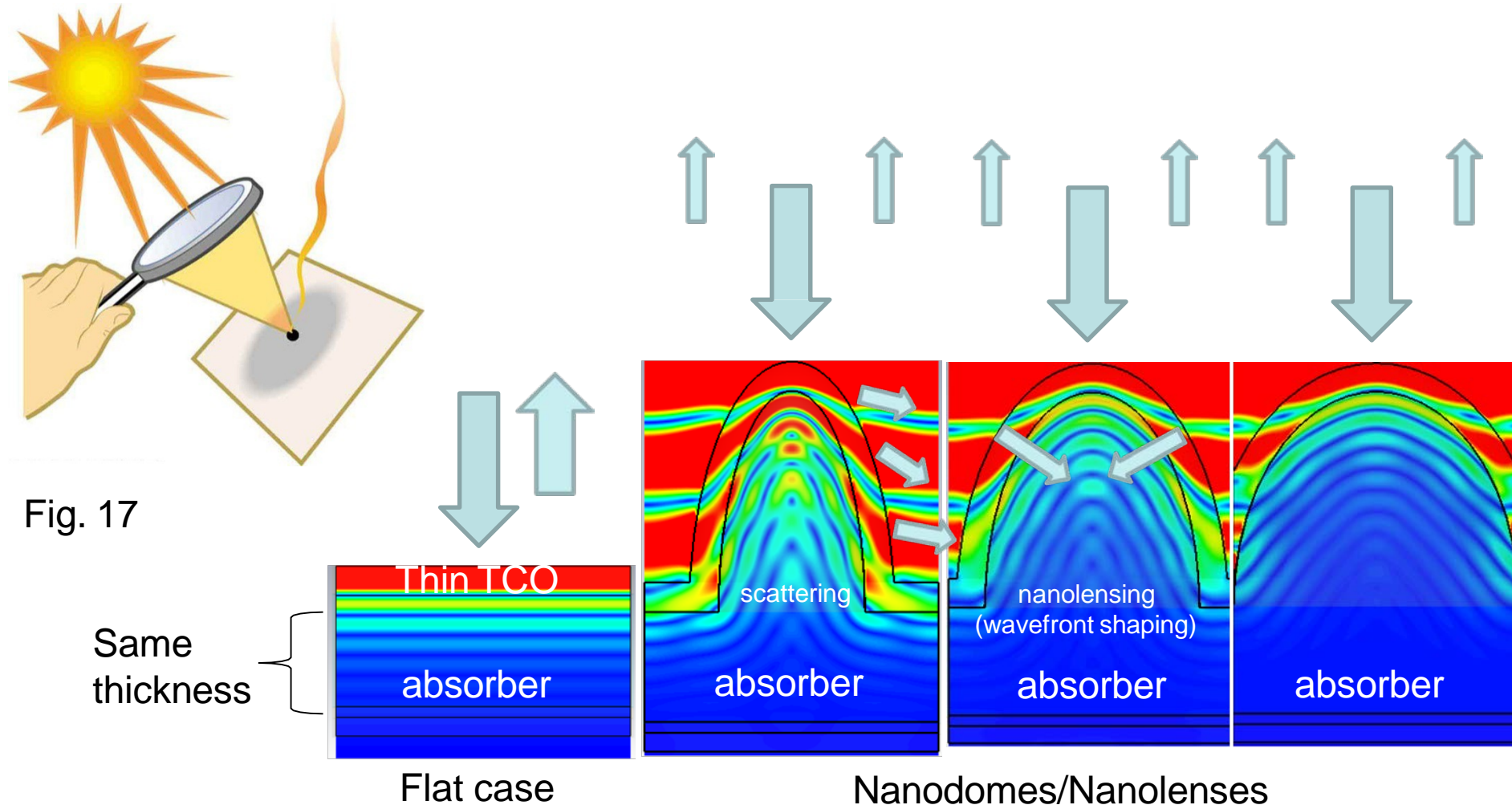
Fig. 16

Designs inspired from
moth's eye

- Fig. 14 taken from: <http://www.dailymail.co.uk/news/article-2195134/Americas-MOTH-model--Winged-insects-pose-tip-finger-stunning-close-ups.html>
- Fig 15 and 16 taken from: <http://www.nanowerk.com/spotlight/spotid=7938.php>

Interaction of Light with Matter

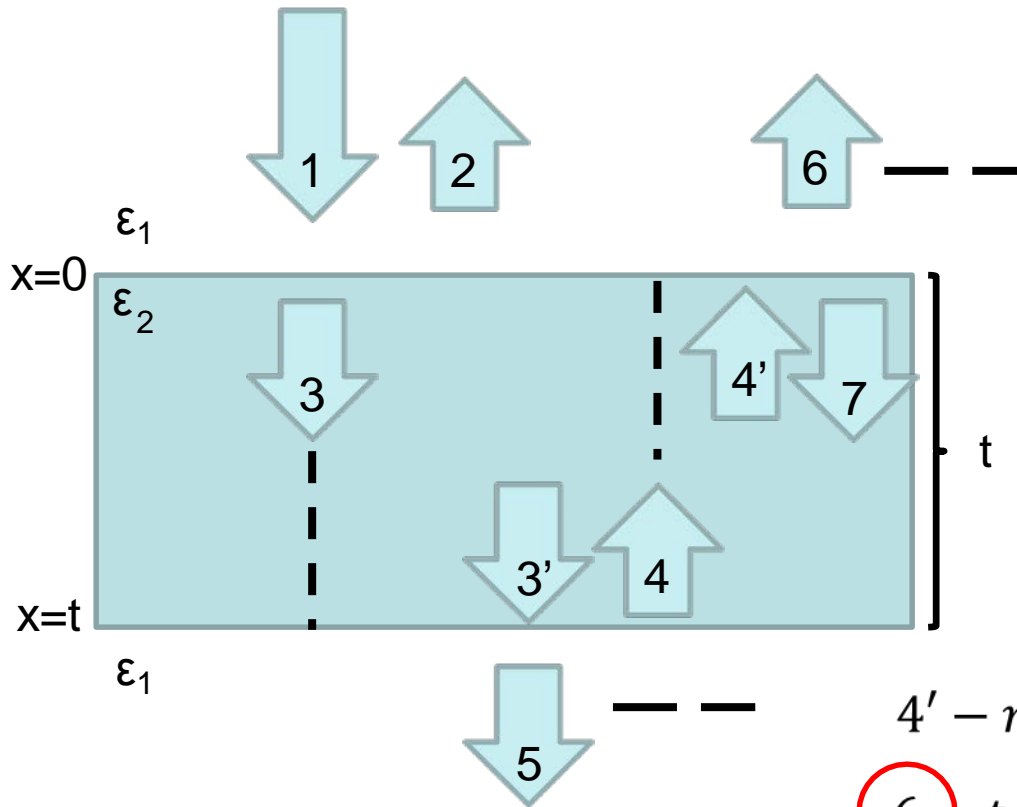
Reflection/Refraction



- Fig. 17 taken from: http://cnx.org/contents/031da8d3-b525-429c-80cf-6c8ed997733a@8.8:204/College_Physics

Interaction of Light with Matter

Fabry Perot Resonances



$$1 - E_0 e^{-jk_1 x} \xrightarrow{x=0} E_0$$

$$2 - r_1 E_0 e^{+jk_1 x} \xrightarrow{x=0} r_1 E_0$$

$$3 - t_1 E_0 e^{-jk_2 x} \xrightarrow{x=0} t_1 E_0$$

$$3' - t_1 E_0 \xrightarrow{t} t_1 E_0 e^{-jk_2 t}$$

$$4 - r_2 t_1 E_0 e^{-jk_2 t}$$

$$5 - t_2 t_1 E_0 e^{-jk_2 t}$$

$$4' - r_2 t_1 E_0 e^{-jk_2 t} \xrightarrow{-t} r_2 t_1 E_0 e^{-jk_2 t} e^{+jk_2 (-t)}$$

$$6 - t_2 r_2 t_1 E_0 e^{-2jk_2 t}$$

$$7 - r_2 r_2 t_1 E_0 e^{-2jk_2 t}$$

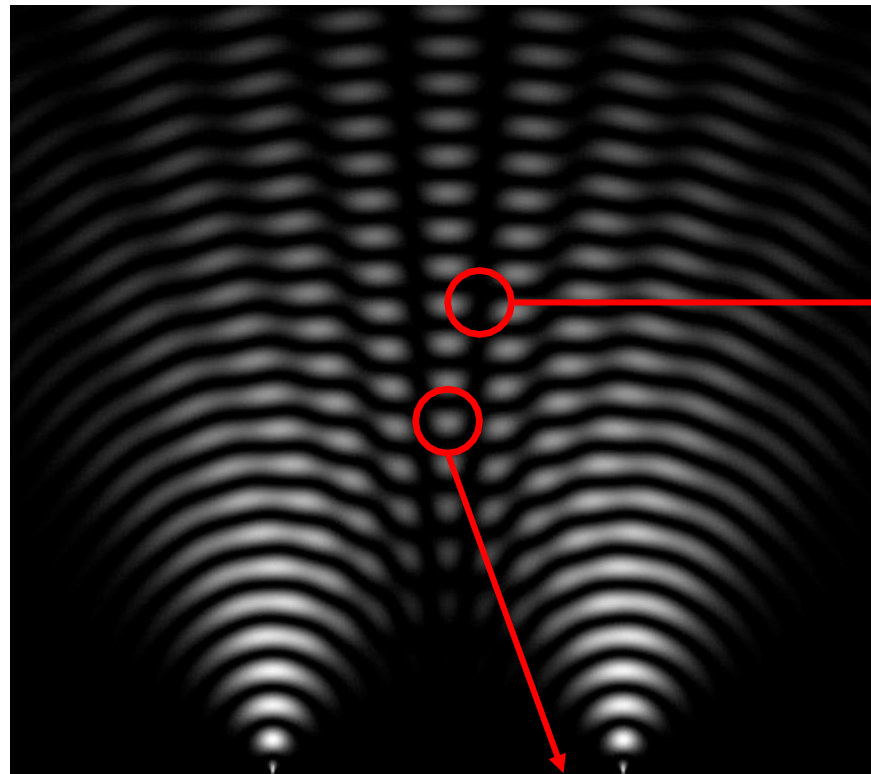
Total R: 2+6+...

Interaction of Light with Matter

Fabry Perot Resonances

Interference

$$R_{x=0} = r_1 E_0 + t_2 r_2 t_1 E_0 e^{-2jk_2 t} + \textcolor{red}{t_2 r_2 r_2 r_2 t_1} E_0 e^{-4jk_2 t} + \square$$



Dark spots

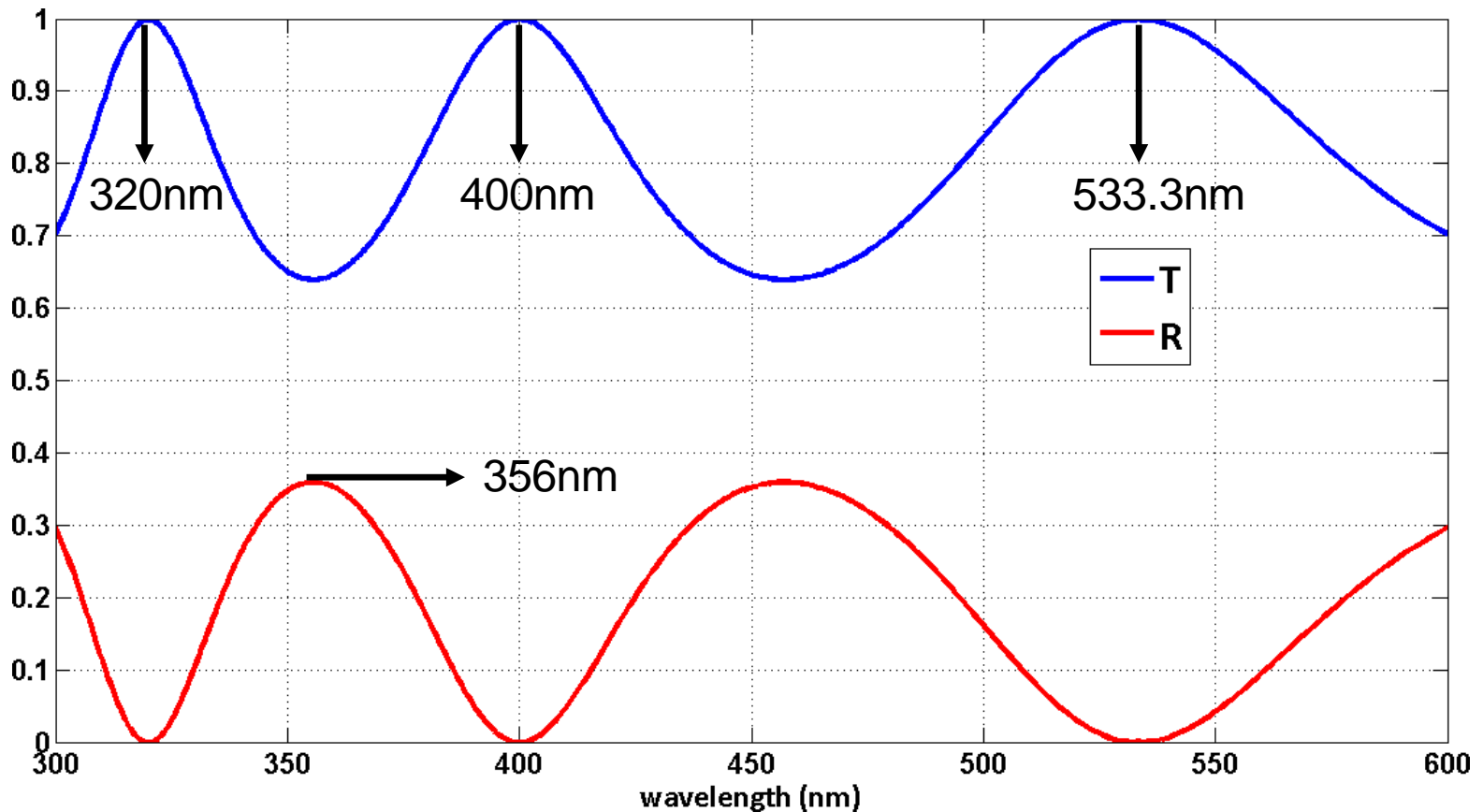
Bright areas

Fig. 18

- Fig. 18 original: <http://emfsafetynetwork.org/maine-utility-admits-smart-meters-cause-interference/>

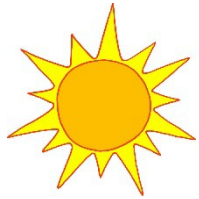
Interaction of Light with Matter

Fabry Perot Resonances



Interaction of Light with Matter

Fabry Perot Resonances



356nm

400nm

t=400nm

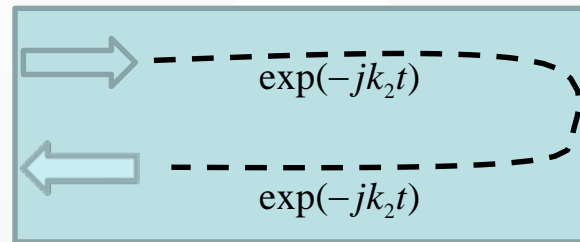
$\epsilon_2=4$

No reflection

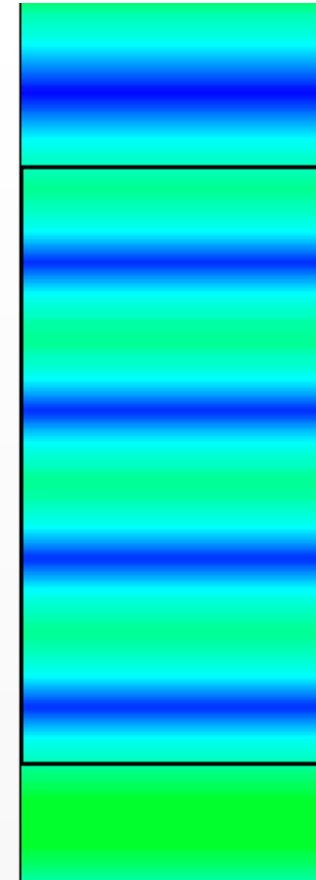
$$2k_2t = 2\pi m \Rightarrow \lambda m = 2n_2t$$

$m \Rightarrow \text{integer, eg. } m=0,1$

Standing wave
formation



→ x



Interaction of Light with Matter

Fabry Perot Resonances

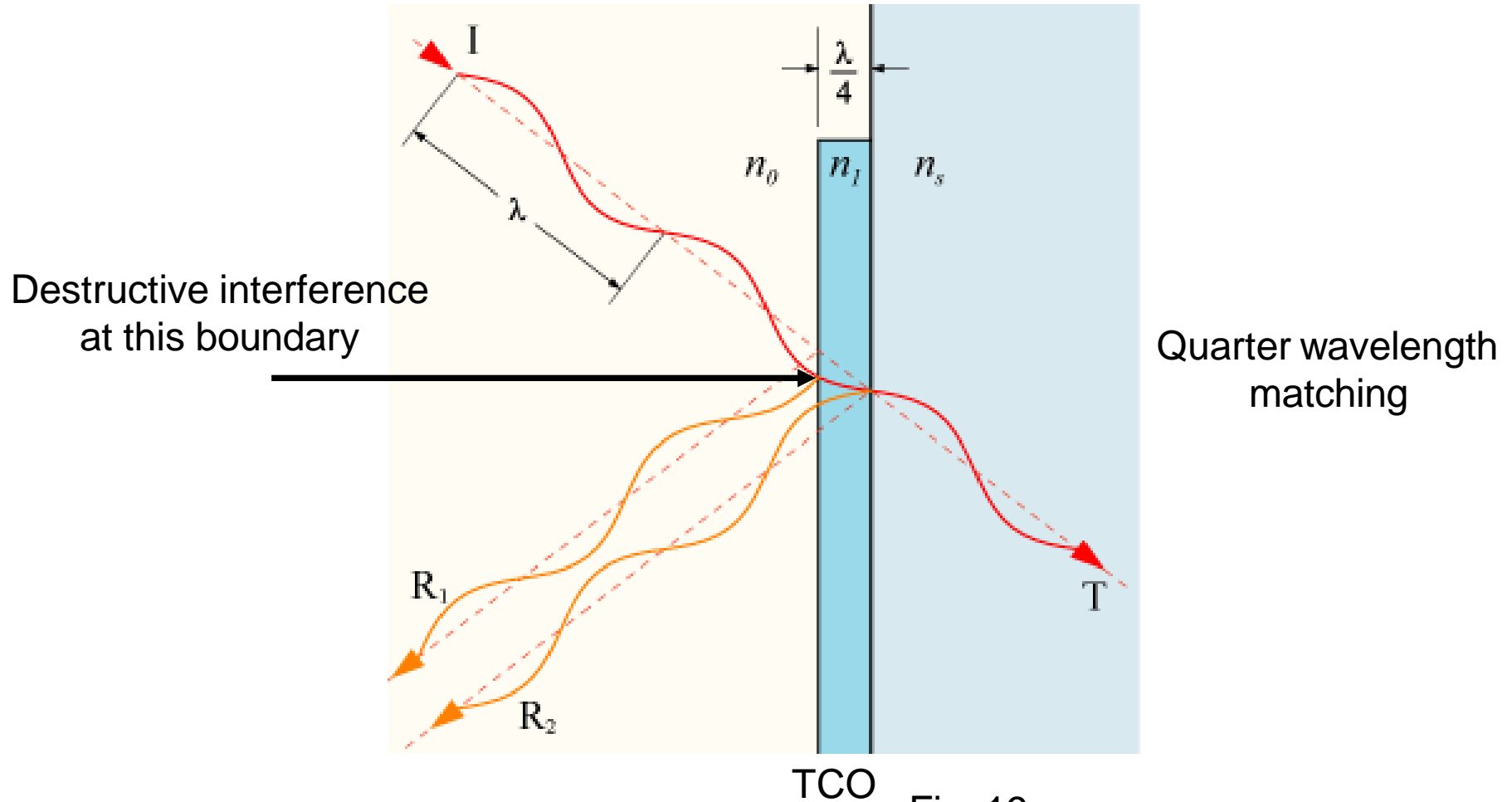


Fig. 19

- Fig. 19 original: <http://upload.wikimedia.org/wikipedia/commons/8/8c/Optical-coating-2.png/>

Interaction of Light with Matter

Fabry Perot Resonances

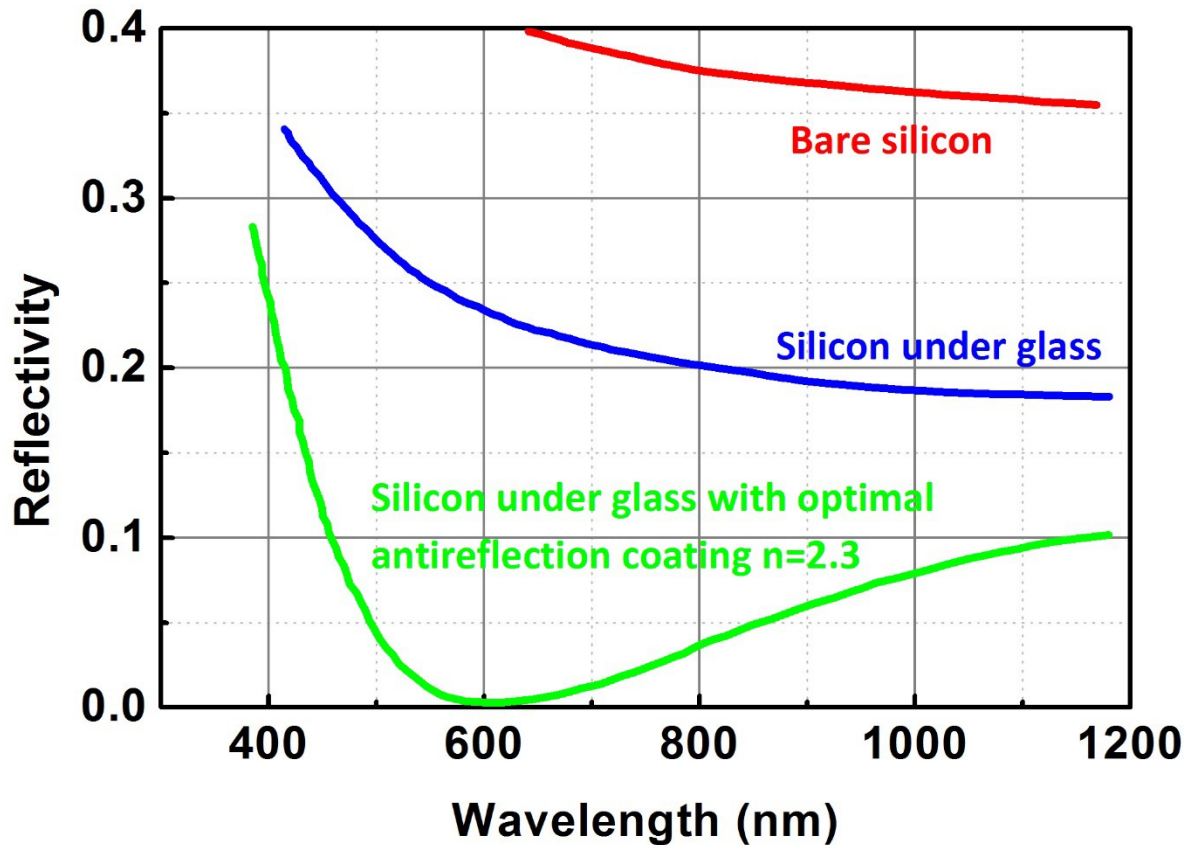


Fig. 20

- Fig. 20 recreated with the data collected from: <http://pveducation.org/pvcdrom/design/anti-reflection-coatings>

Interaction of Light with Matter

Waveguiding

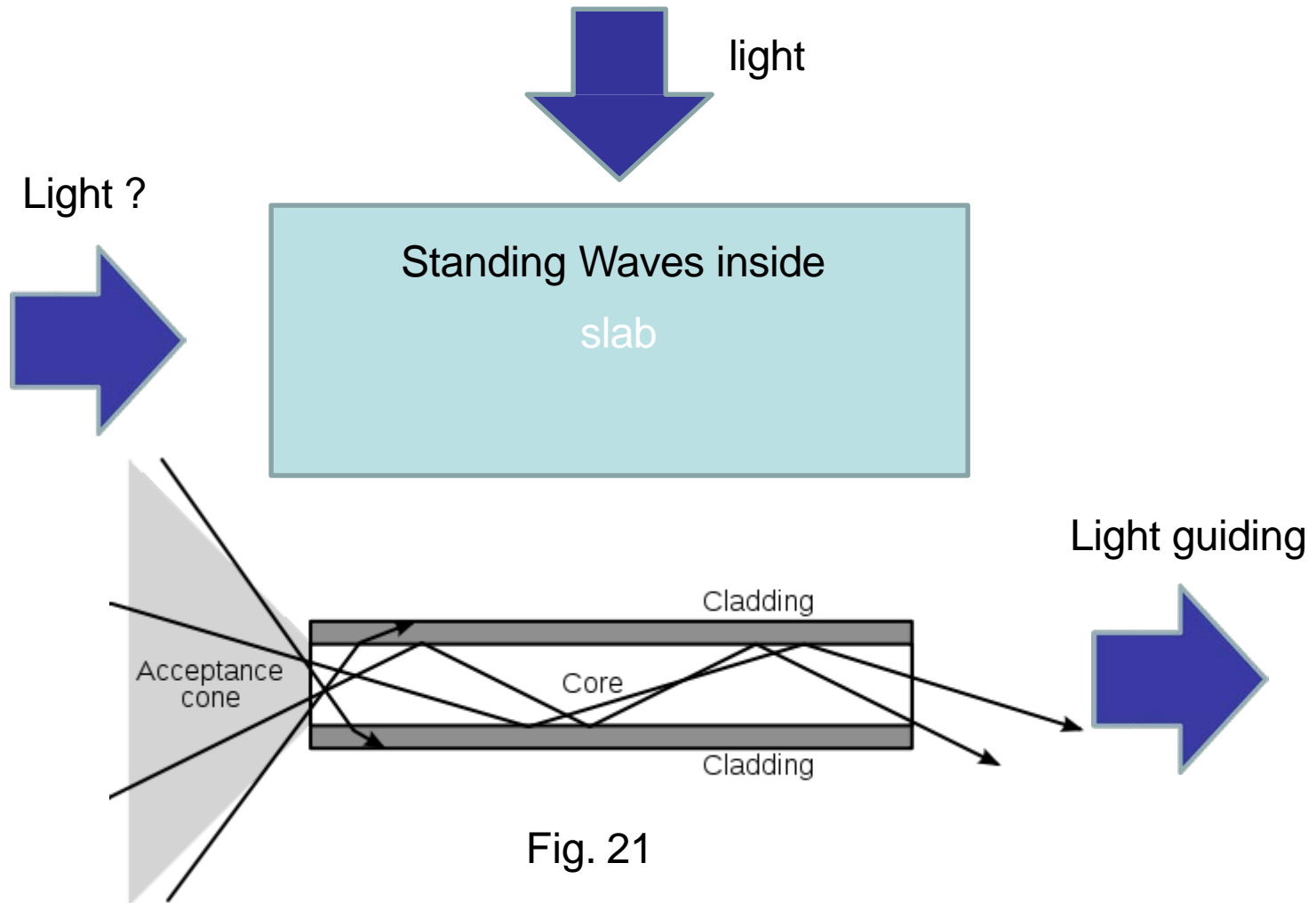


Fig. 21

Interaction of Light with Matter

Waveguiding

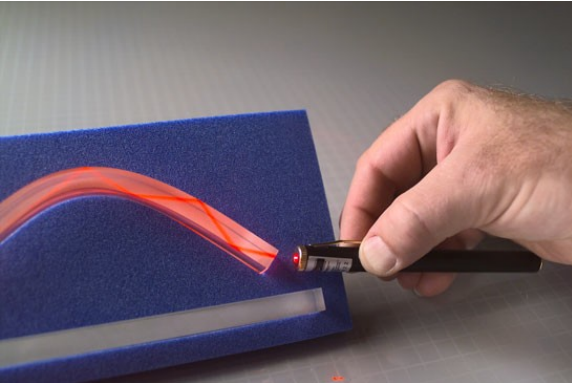
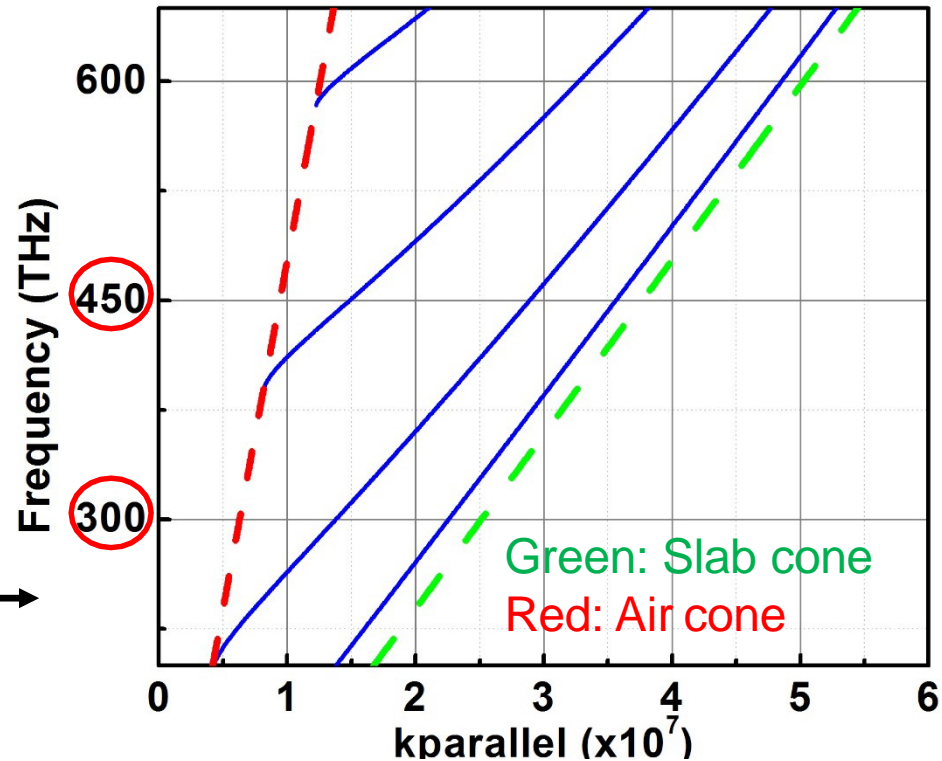
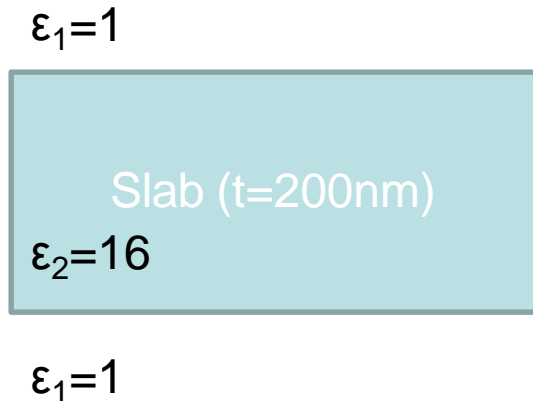


Fig. 22

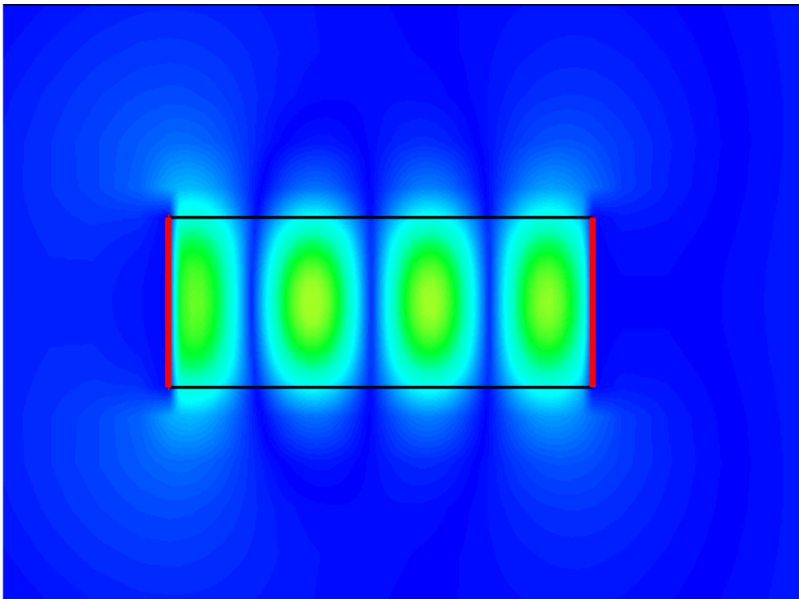


Dispersion results for a dielectric waveguide

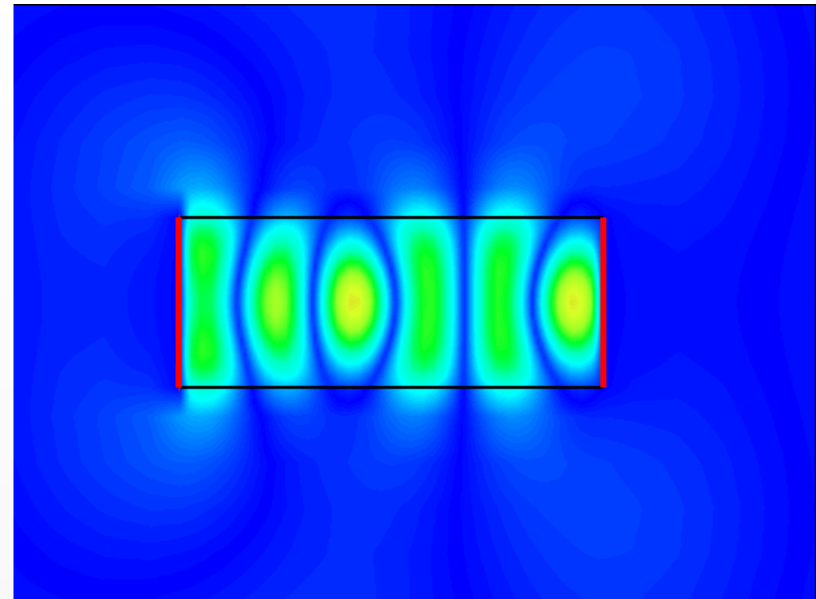
Interaction of Light with Matter

Waveguiding

300 THz



450 THz



$$\epsilon_1=1 \longrightarrow k_{1//\max} \text{ or } k_{1\max}$$

$$\longrightarrow k_{2//}$$

Slab ($t=200\text{nm}$)

$$\epsilon_2=16$$

$$\epsilon_1=1$$

$$k_{2//} \geq k_{1//\max} \quad (k_{1z} = 0)$$

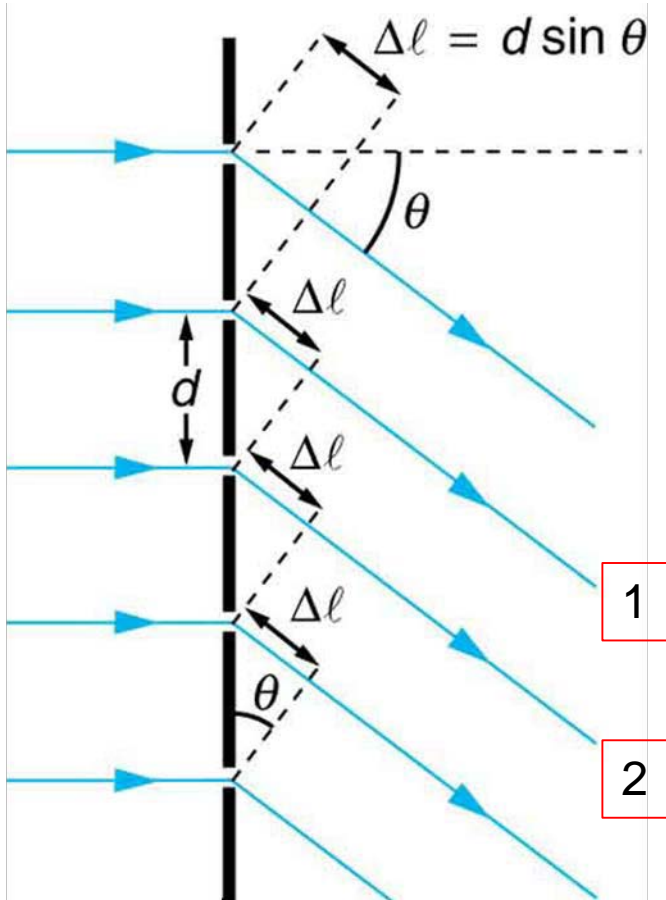
$$k_{2//}^2 + k_{2z}^2 = k_2^2$$

$$k_{2//} = k_{1//}$$

$$k_{1//\max}^2 - k_{2//}^2 = k_{1z}^2 \Rightarrow k_{1z} : \text{imaginary}$$

Interaction of Light with Matter

Diffraction



$$\phi_1 - \phi_2 = \nabla \phi$$

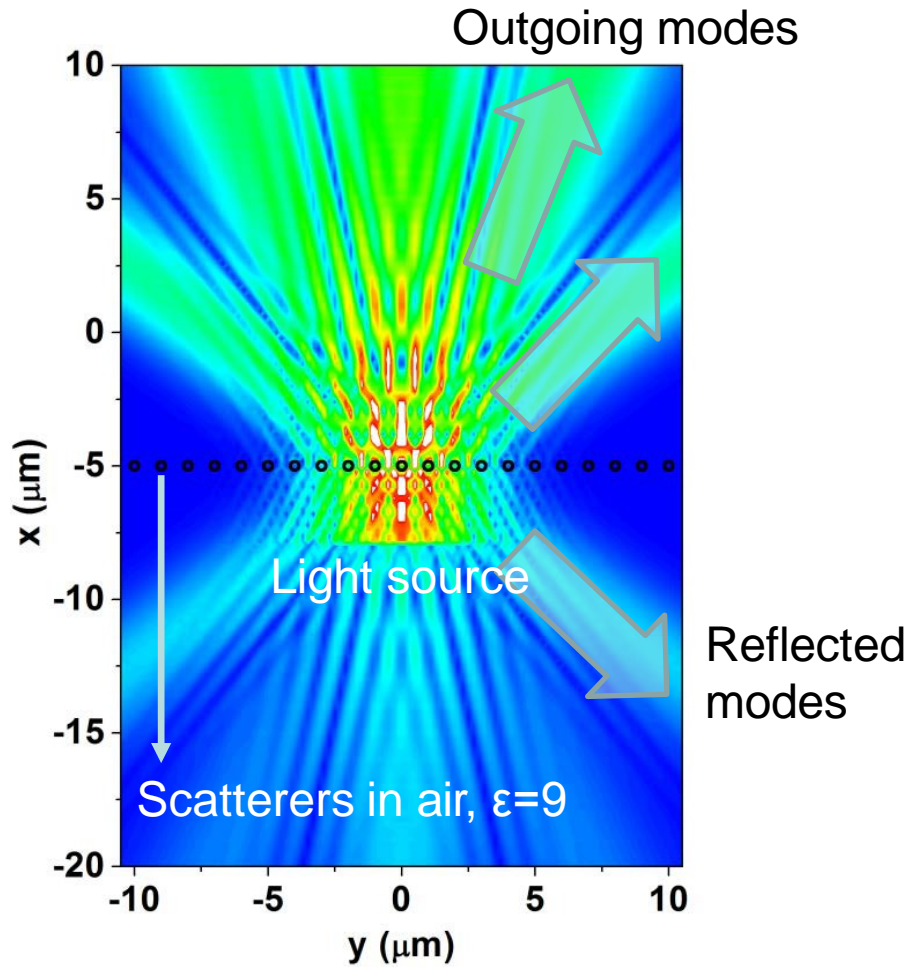
$$\nabla \phi = k \Delta \square = \frac{2\pi}{\lambda} \Delta \square = 2\pi m$$

$$\Delta \square = d \sin \theta \Rightarrow \sin \theta = \frac{m\lambda}{d}$$

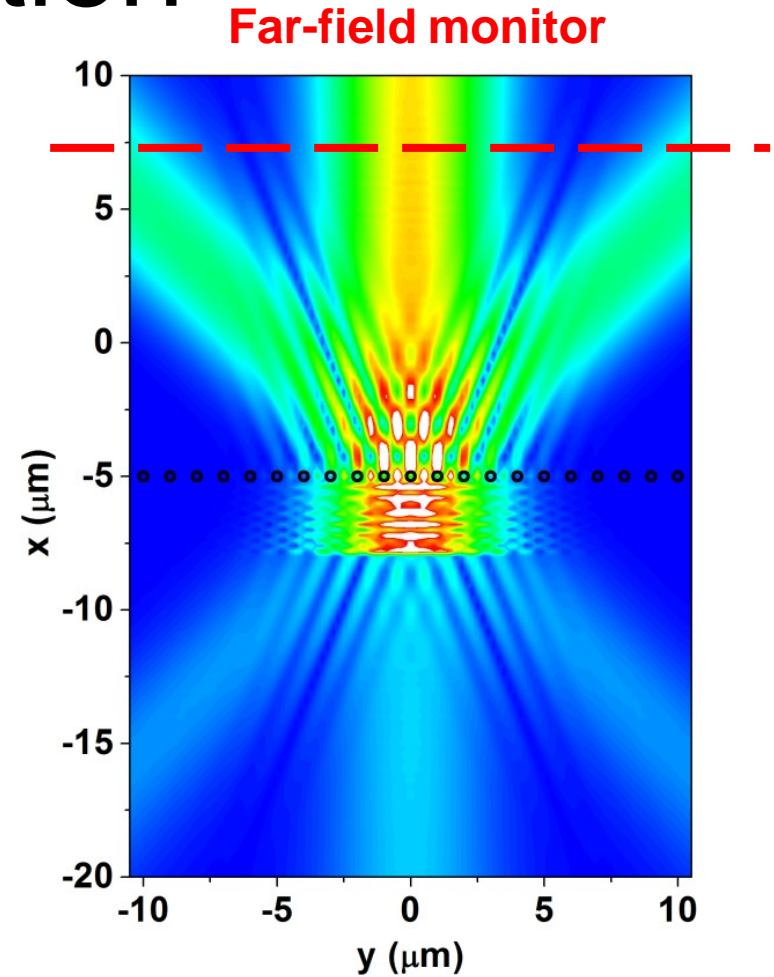
A collective action as soon as there is discontinuity along the reflection plane.

Interaction of Light with Matter

Diffraction



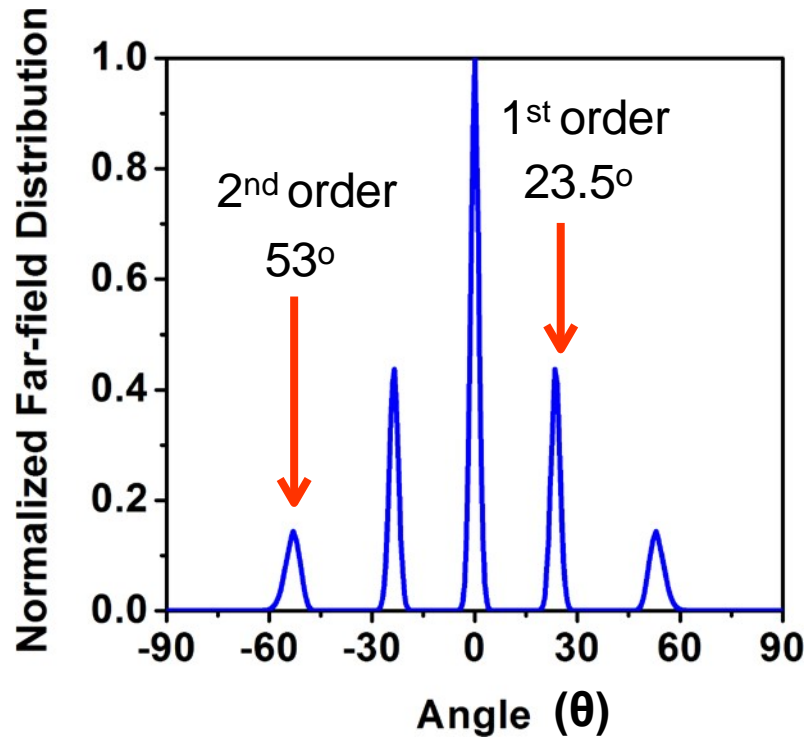
$\lambda=400$ nm
 $d=1000$ nm



$\lambda=700$ nm
 $d=1000$ nm

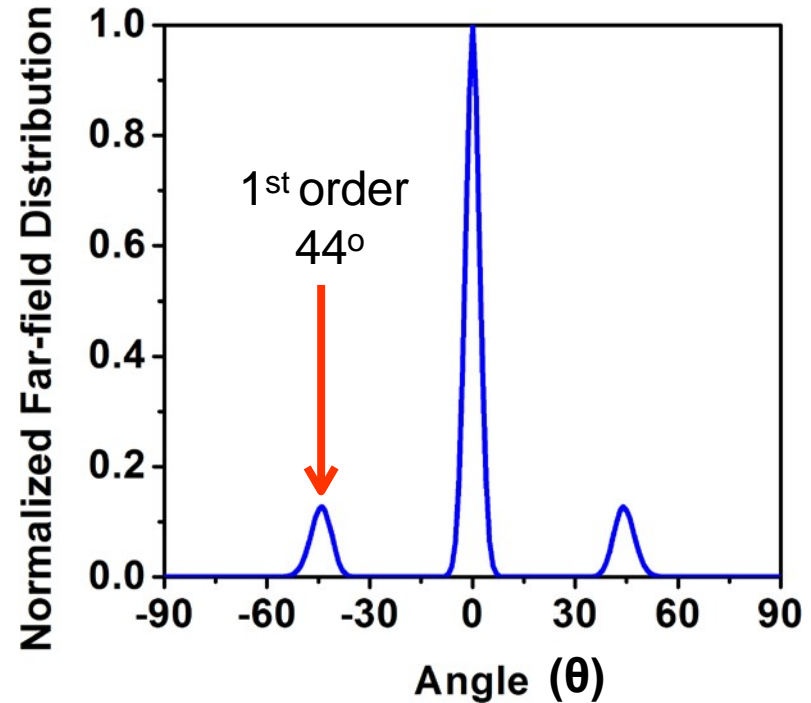
Interaction of Light with Matter

Diffraction



The higher order diffractions (1st, 2nd, etc....) are observed at angles that obey the simple formulation

$$\lambda = 400 \text{ nm}$$
$$d = 1000 \text{ nm}$$



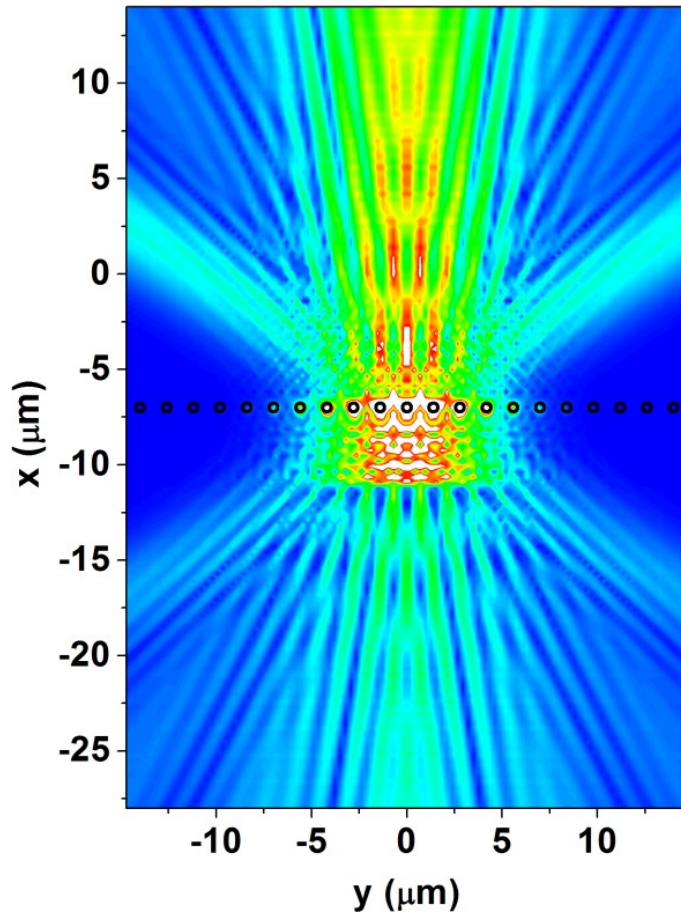
e.g. $\sin^{-1}(700 / 1000) = 44.42^\circ$
for +1st order diffraction

$$\lambda = 700 \text{ nm}$$
$$d = 1000 \text{ nm}$$

**** Notice the small deviations (less than 1°) between the simulation results and diffraction formula**

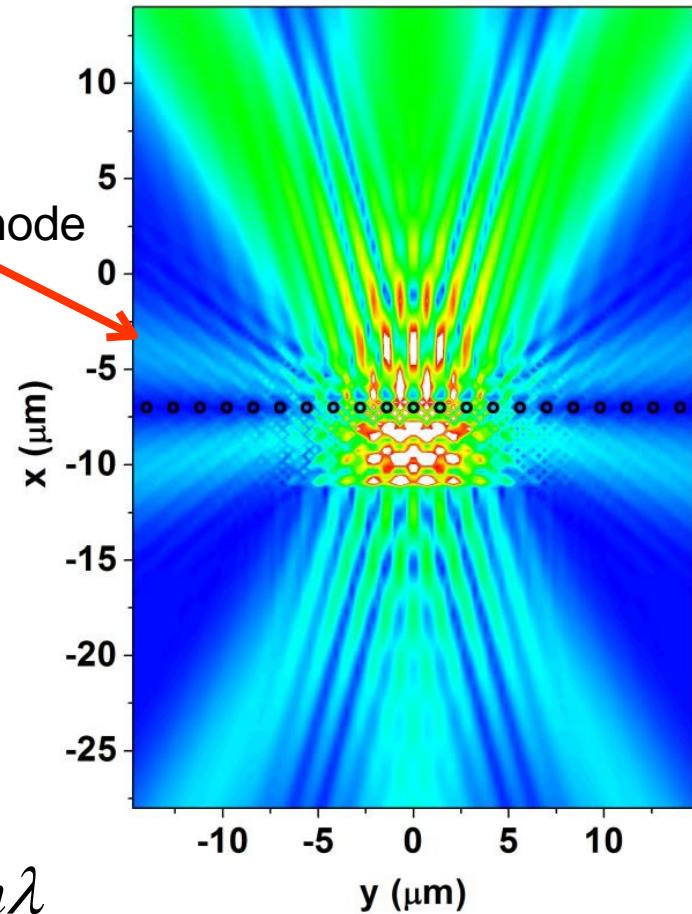
Interaction of Light with Matter

Diffraction



$\lambda = 400 \text{ nm}$
 $d = 1400 \text{ nm}$

Tangential mode

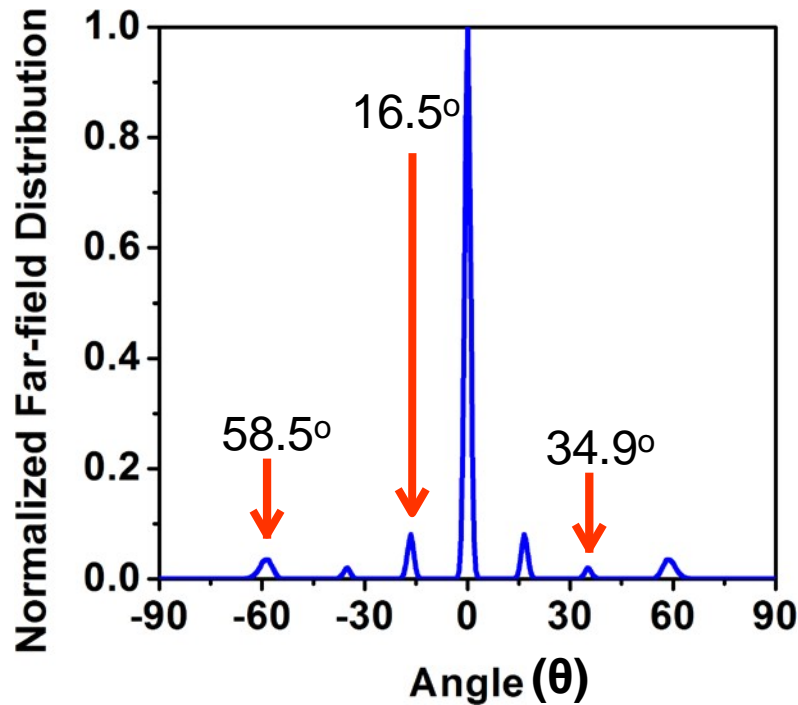


$\lambda = 700 \text{ nm}$
 $d = 1400 \text{ nm}$

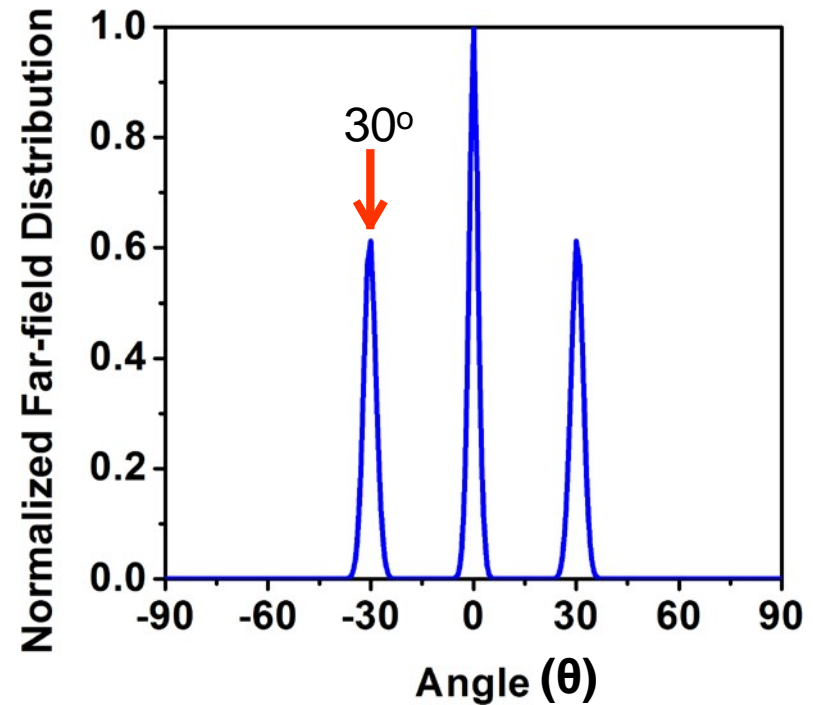
$$\sin \theta = \frac{m\lambda}{d} \uparrow$$

Interaction of Light with Matter

Diffraction



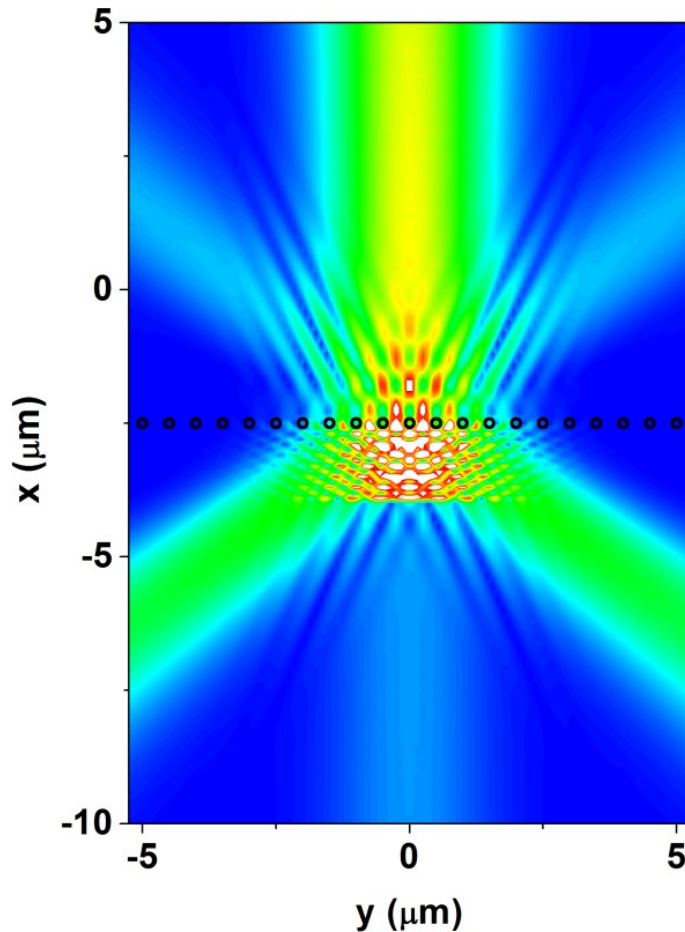
$\lambda=400$ nm
 $d=1400$ nm



$\lambda=700$ nm
 $d=1400$ nm

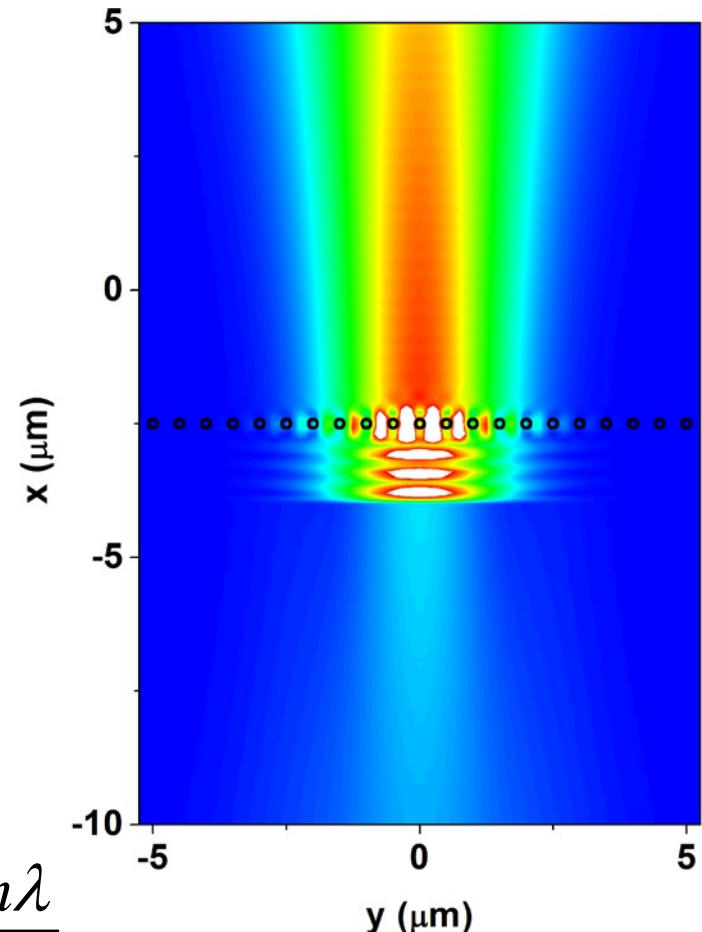
Interaction of Light with Matter

Diffraction



$\lambda = 400 \text{ nm}$
 $d = 500 \text{ nm}$

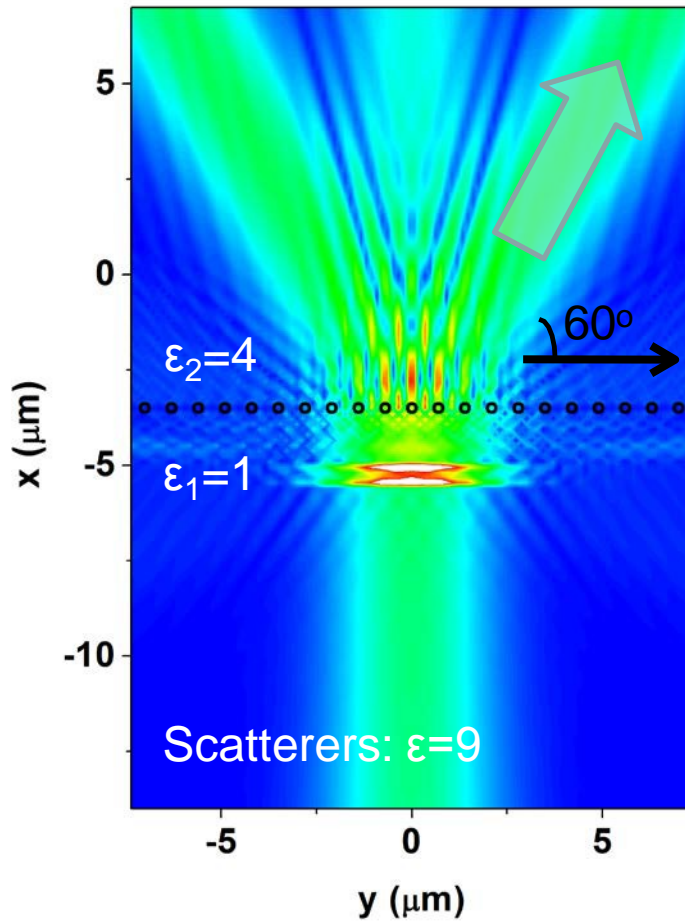
$$\sin \theta = \frac{m\lambda}{d} \downarrow$$



$\lambda = 700 \text{ nm}$
 $d = 500 \text{ nm}$

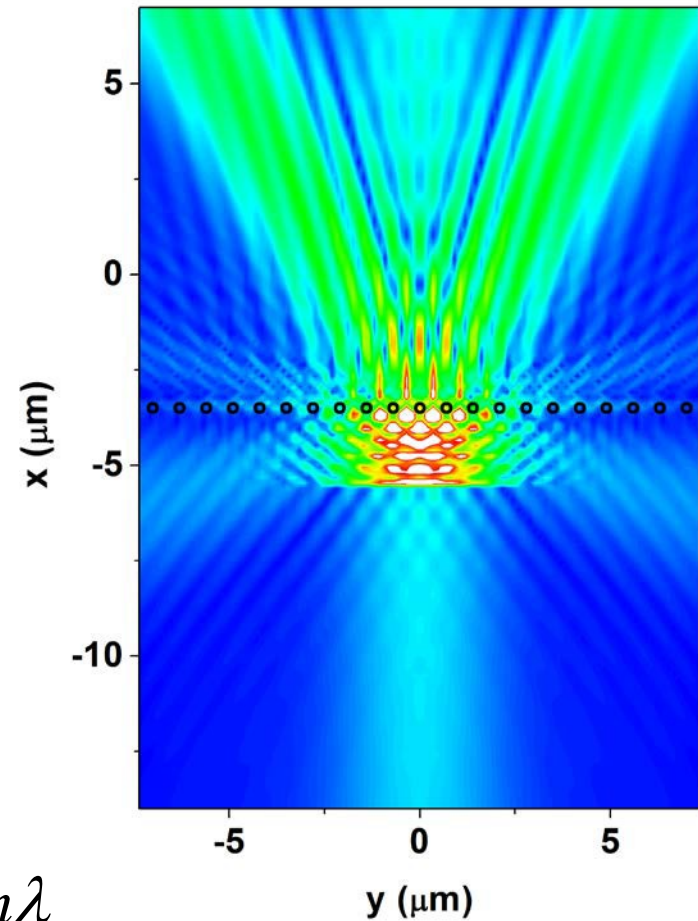
Interaction of Light with Matter

Diffraction



$\lambda = 400 \text{ nm}$
 $d = 700 \text{ nm}$

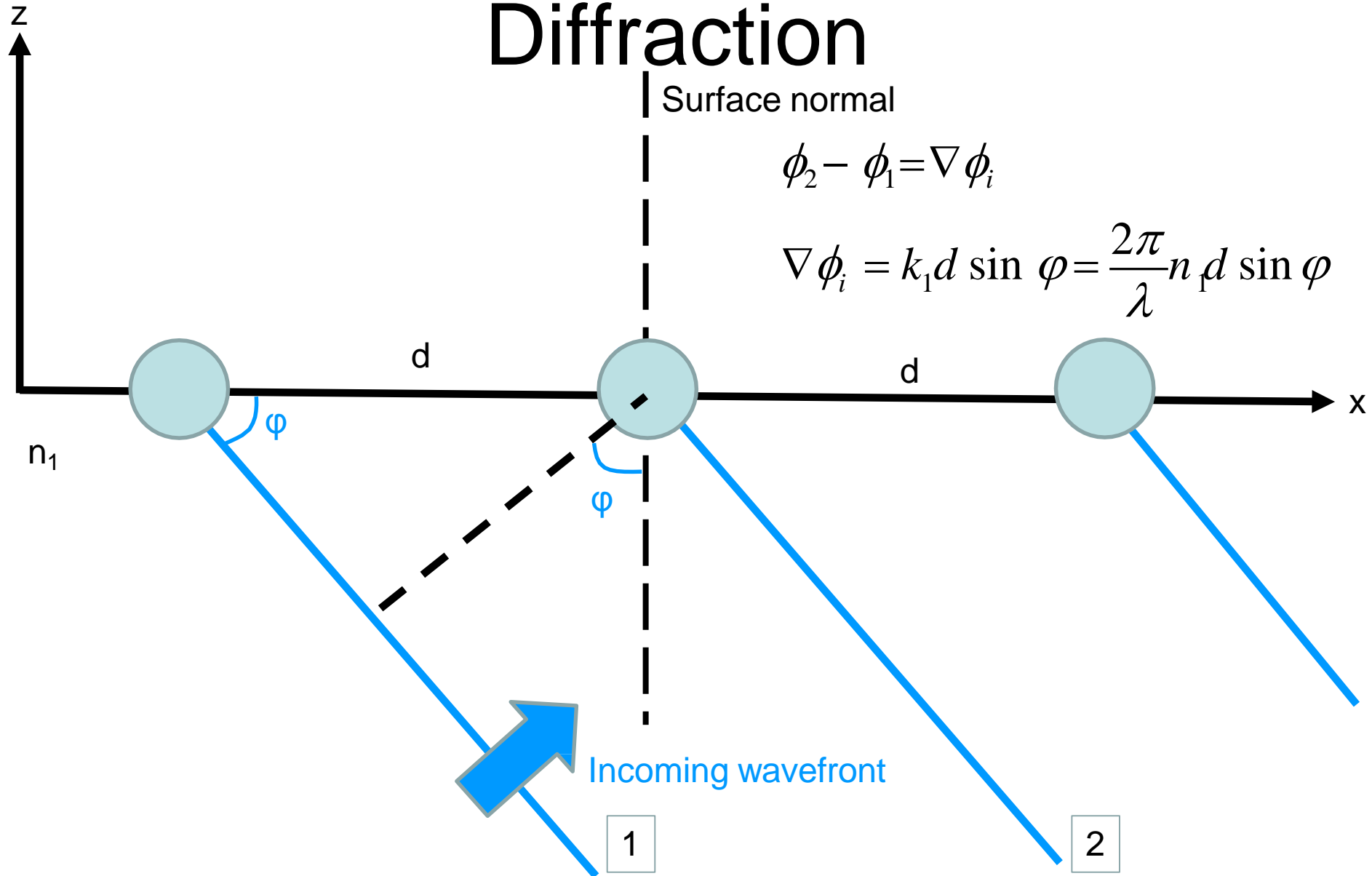
$$\sin \theta = \frac{m\lambda}{n_2 d}$$



$\lambda = 700 \text{ nm}$
 $d = 700 \text{ nm}$

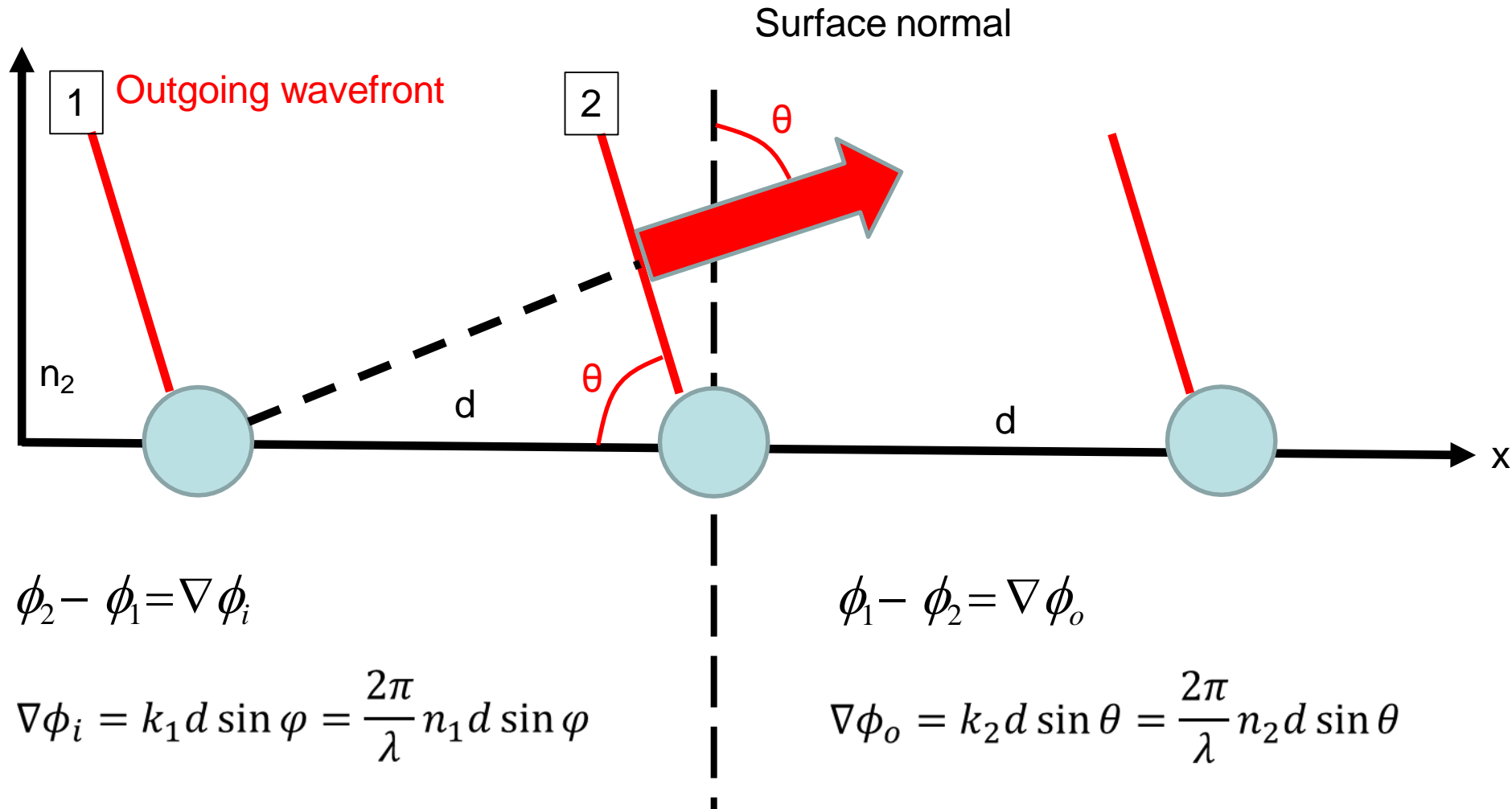
Interaction of Light with Matter

Diffraction



Interaction of Light with Matter

Diffraction



Interaction of Light with Matter

Diffraction

$$\phi_1 - \phi_2 = \nabla \phi_o$$

$$\nabla \phi_o = k_2 d \sin \theta = \frac{2\pi}{\lambda} n_2 d \sin \theta$$

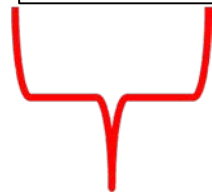
$$\phi_2 - \phi_1 = \nabla \phi_i$$

$$\nabla \phi_i = k_1 d \sin \varphi = \frac{2\pi}{\lambda} n_1 d \sin \varphi$$

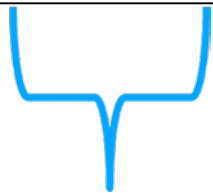
$$\nabla \phi_o - \nabla \phi_i = 2\pi m$$

$$k_2 d \sin \theta - k_1 d \sin \varphi = 2\pi m$$

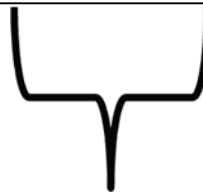
$$k_2 \sin \theta = k_1 \sin \varphi + \frac{2\pi m}{d}$$



Outgoing
tangential



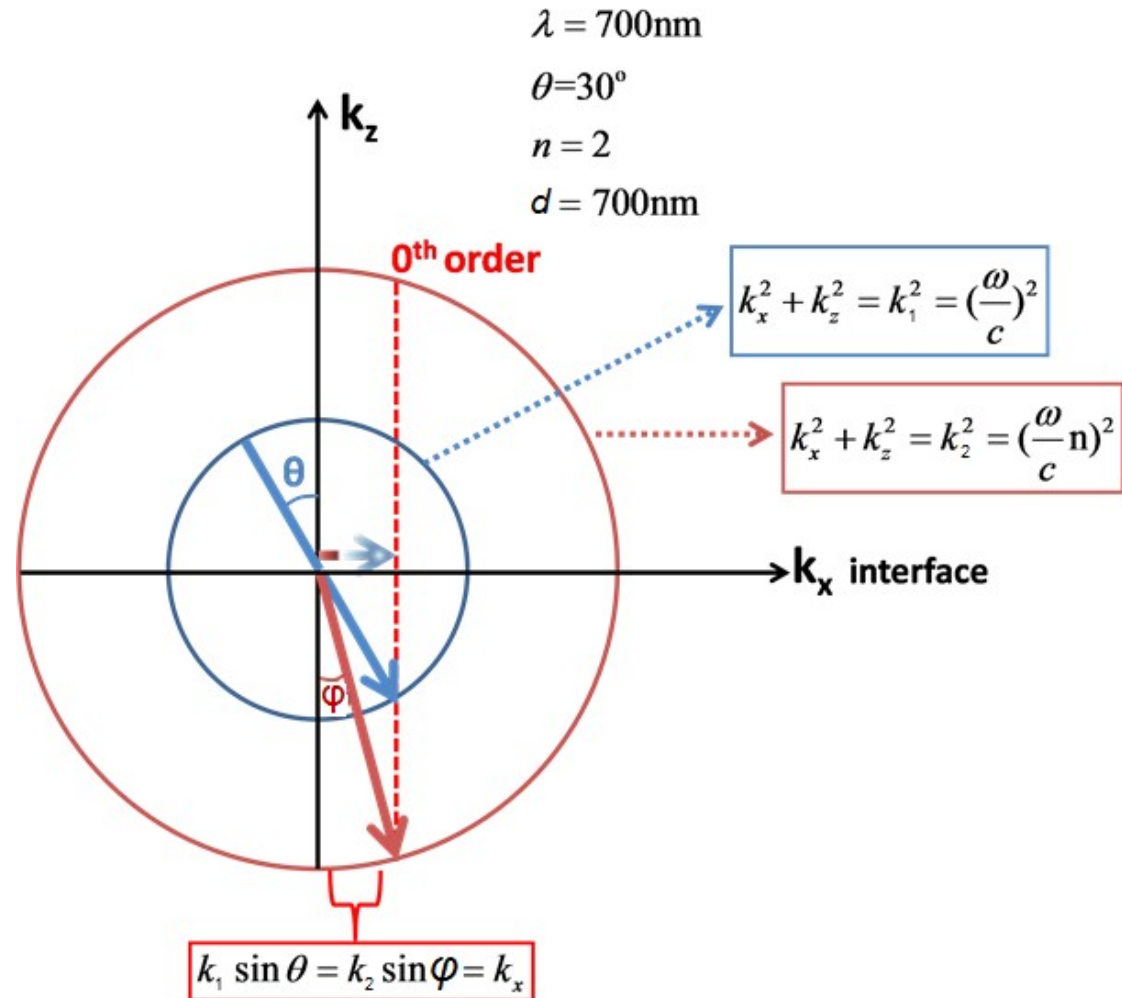
Incoming
tangential



Phase
term

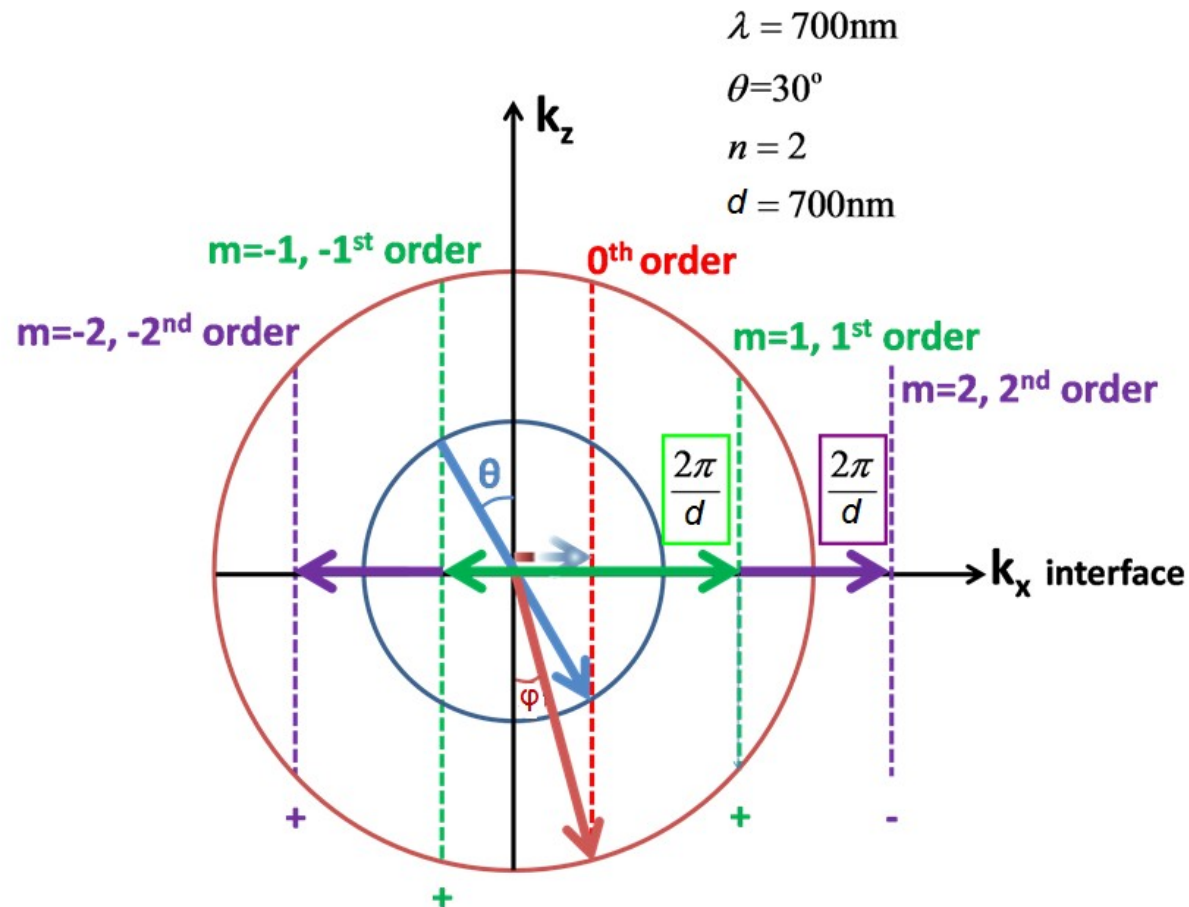
Interaction of Light with Matter

Diffraction



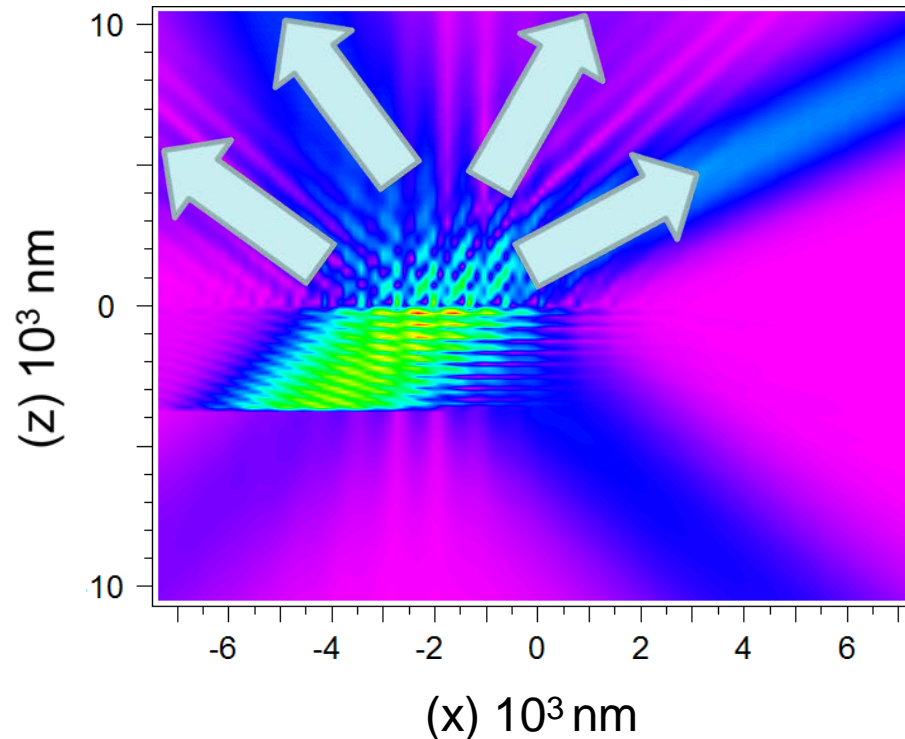
Interaction of Light with Matter

Diffraction



Interaction of Light with Matter

Diffraction



$$\lambda = 700nm$$

$$\theta = 30^\circ$$

$$d = 700nm$$

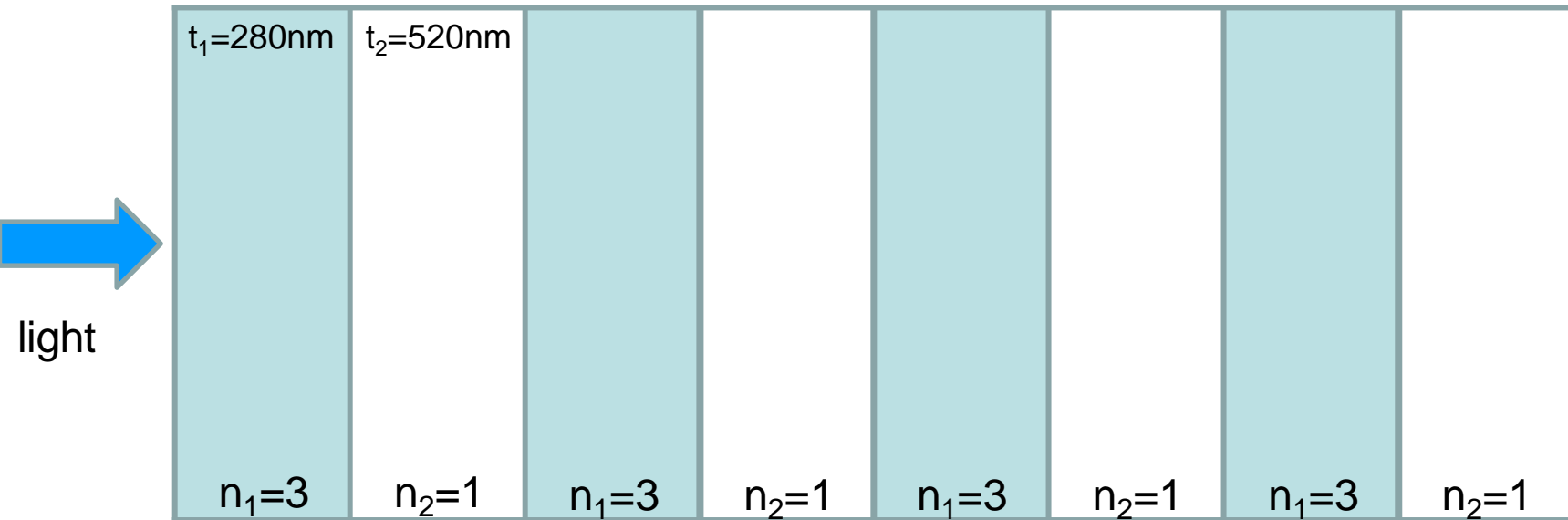
$$n_1 = 1$$

$$n_2 = 2$$

	$\sin^{-1}(\sin\theta/n_2 + m\lambda/n_2d)$
0 th order	14 degrees
1 st order	(m=1) 48 degrees, (m=-1) -14 degrees
2 nd order	(m=2) NA, (m=-2) 48 degrees

Interaction of Light with Matter

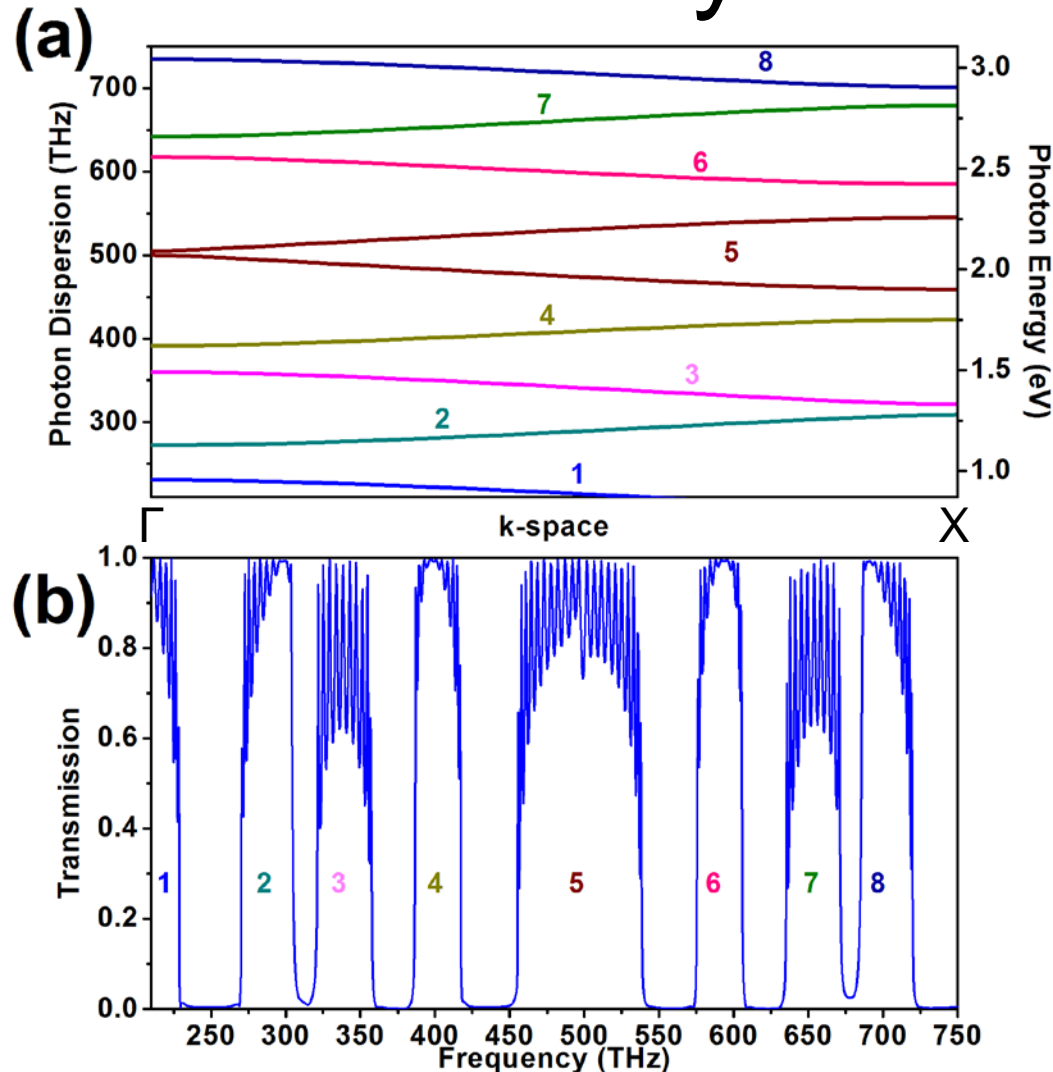
Photonic Crystals



What happens if we stack layers along the propagation direction?

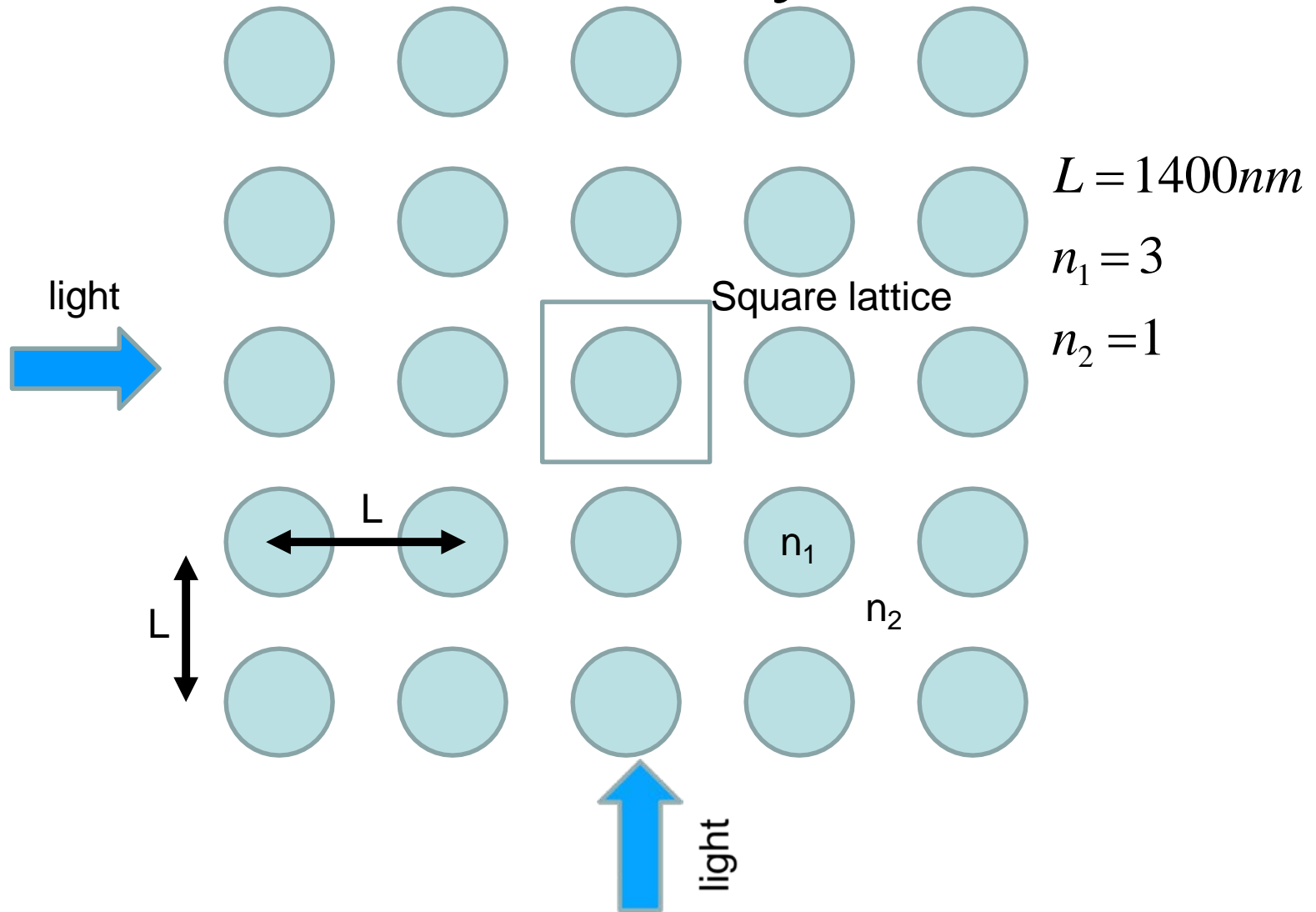
Interaction of Light with Matter

Photonic Crystals



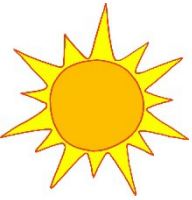
Interaction of Light with Matter

Photonic Crystals



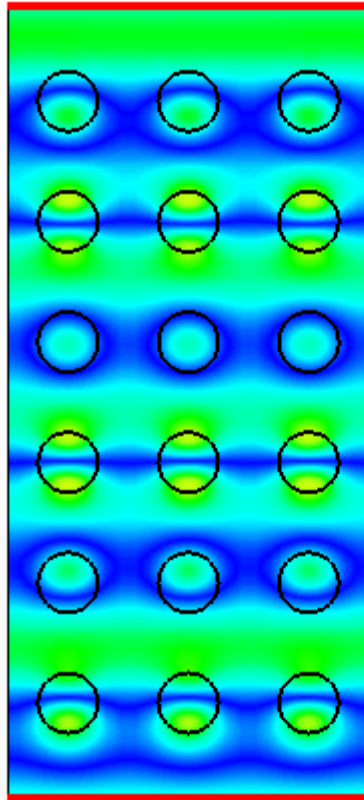
Interaction of Light with Matter

Photonic Crystals



Periodic Dielectric
Function

Bulk Material



Bulk material

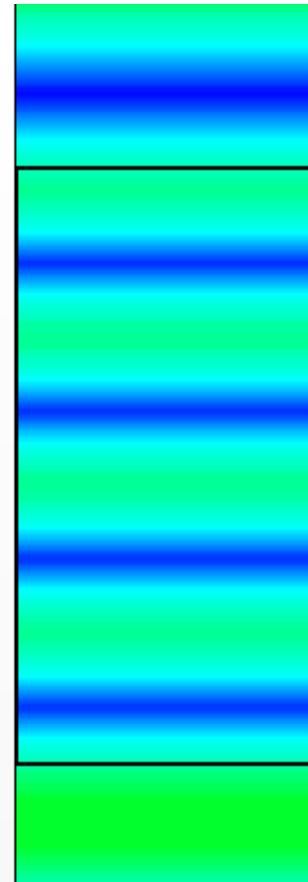
$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ E_0 e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\}, k = \frac{2\pi}{\lambda} n$$

Periodic Structure

$$\varepsilon(\vec{r}) = \varepsilon(\vec{r} + L) \rightarrow \vec{E}(\vec{r}) = \vec{E}(\vec{r} + L)$$

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ \mathbf{u}(\vec{r}) e^{j\omega t} e^{-j\vec{k} \cdot \vec{r}} \right\}$$

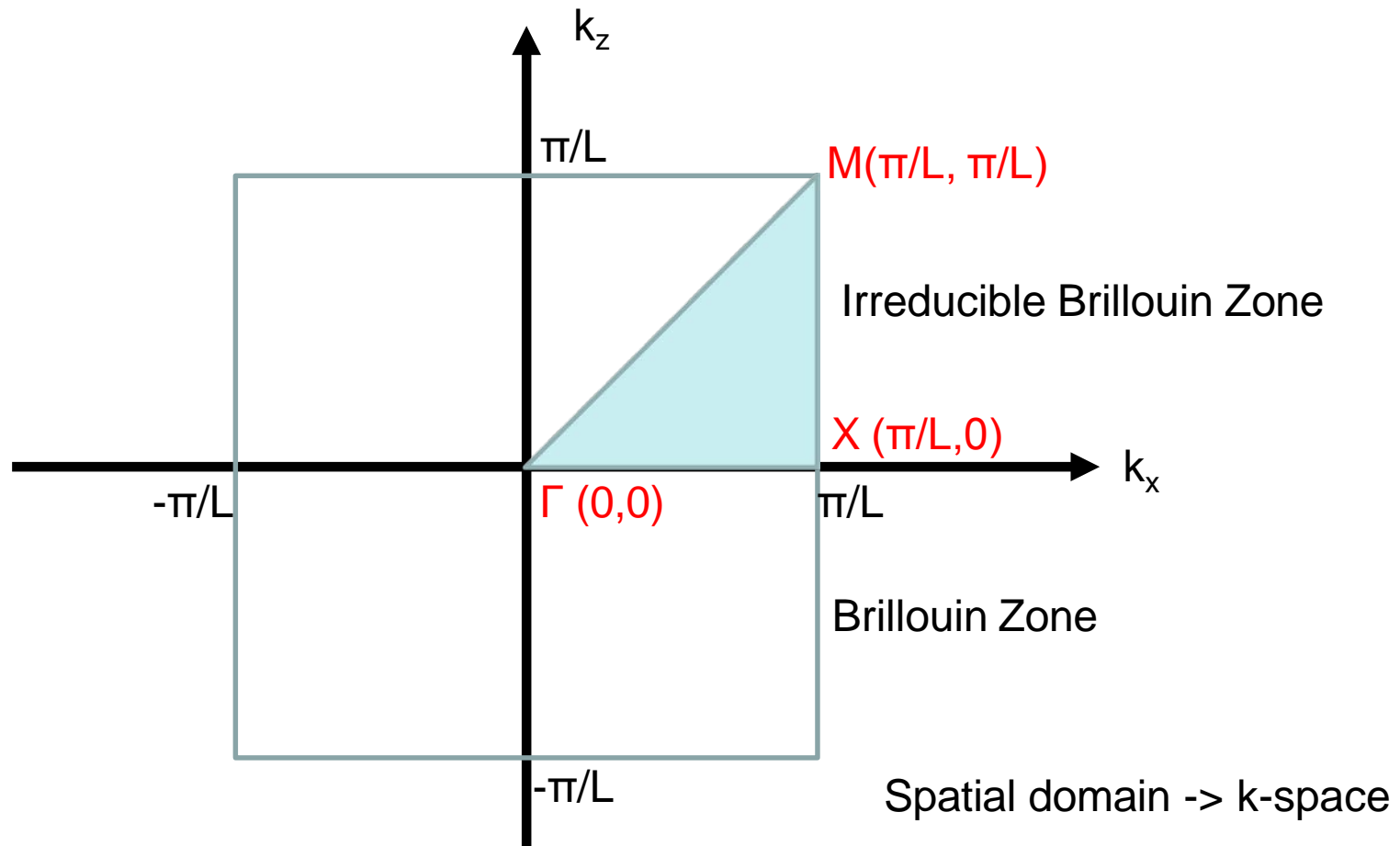
$$u(\vec{r}) = u(\vec{r} + L), k = \frac{2\pi}{L}$$



Bloch Functions/Waves

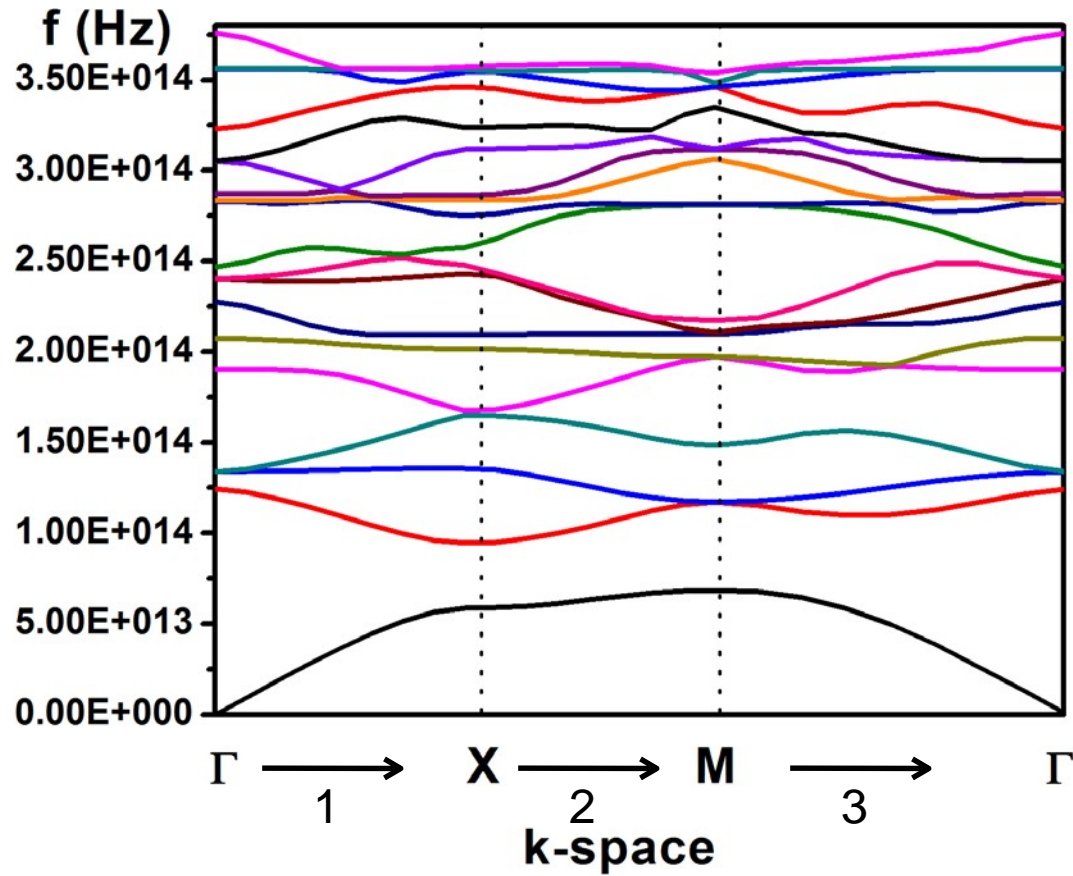
Interaction of Light with Matter

Photonic Crystals



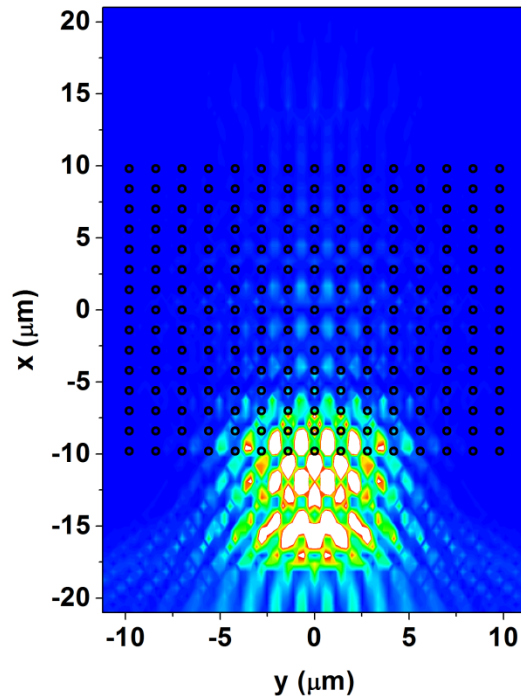
Interaction of Light with Matter

Photonic Crystals

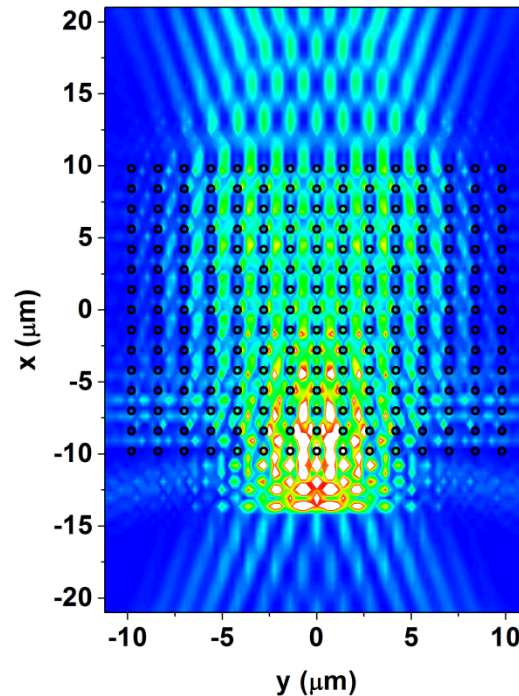


Interaction of Light with Matter

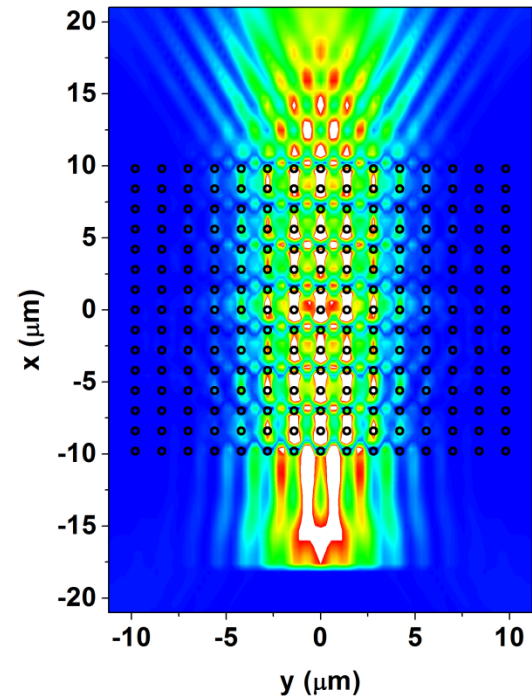
Photonic Crystals



$\lambda=400 \text{ nm}$
 $L=1400 \text{ nm}$



$\lambda=700 \text{ nm}$
 $L=1400 \text{ nm}$

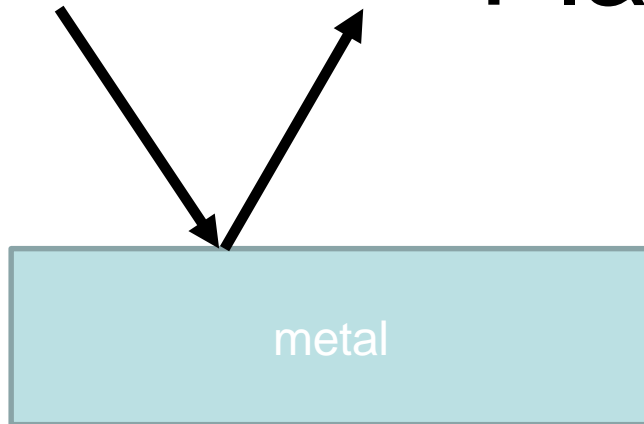


$\lambda=1000 \text{ nm}$
 $L=1400 \text{ nm}$

Some inherent modes of the PC are coupled good enough to the impinging light, resulting in good transmission results.

Interaction of Light with Matter

Plasmonics



Normally:

- Metals totally reflect the incoming light
- High conductivity \Rightarrow Electrons react to the incoming light, almost no penetration.

At smaller wavelengths:

- The electron cloud and the incoming light interact. They form SPPs.
- SPP is attached to the metal, can cover long distances.

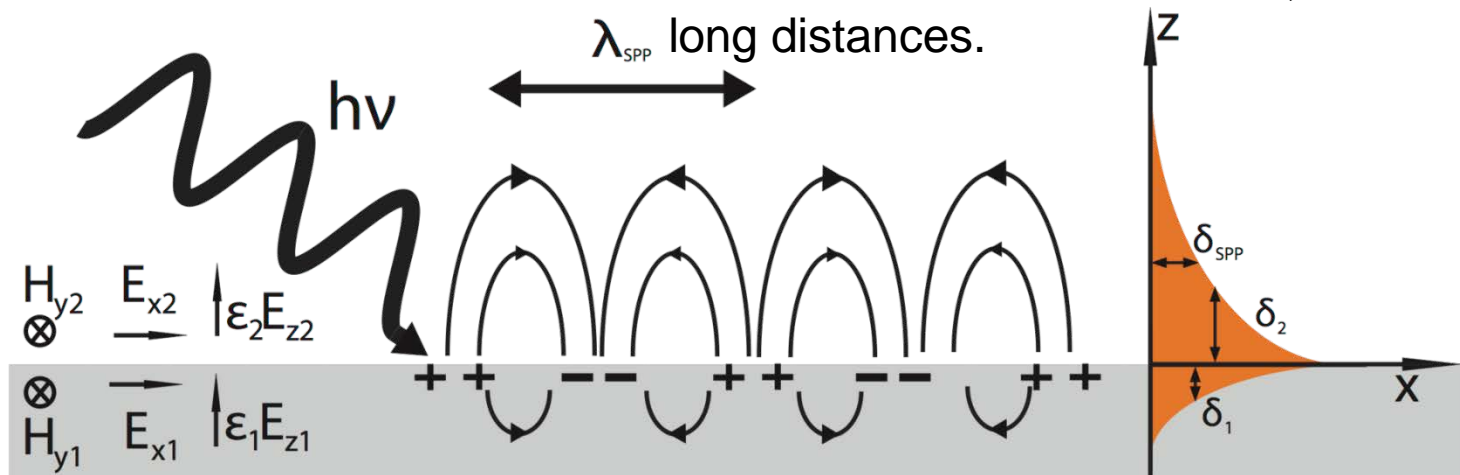


Fig. 23

Interaction of Light with Matter

Plasmonics

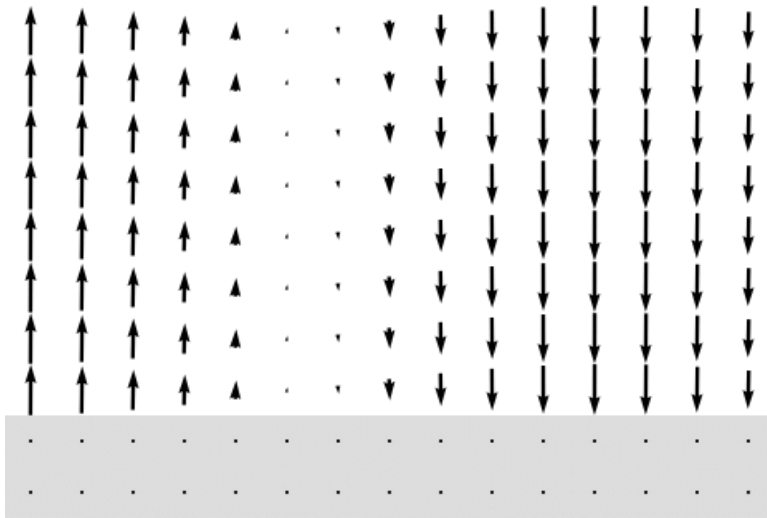


Fig. 24

Silver as PEC

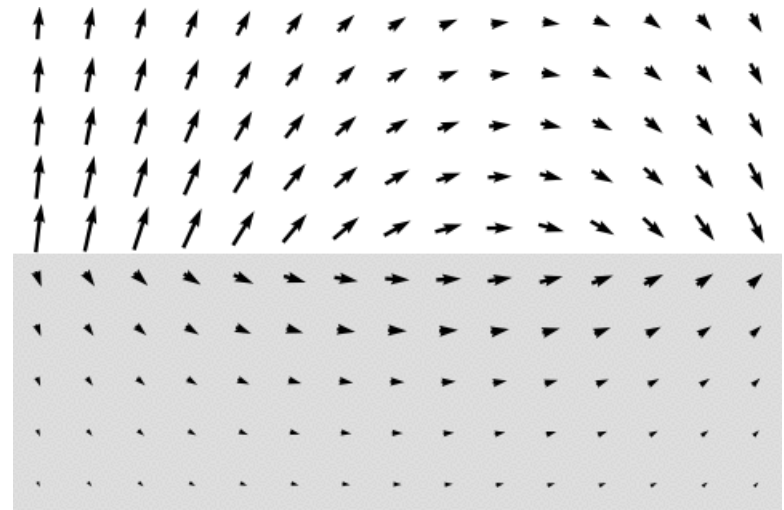
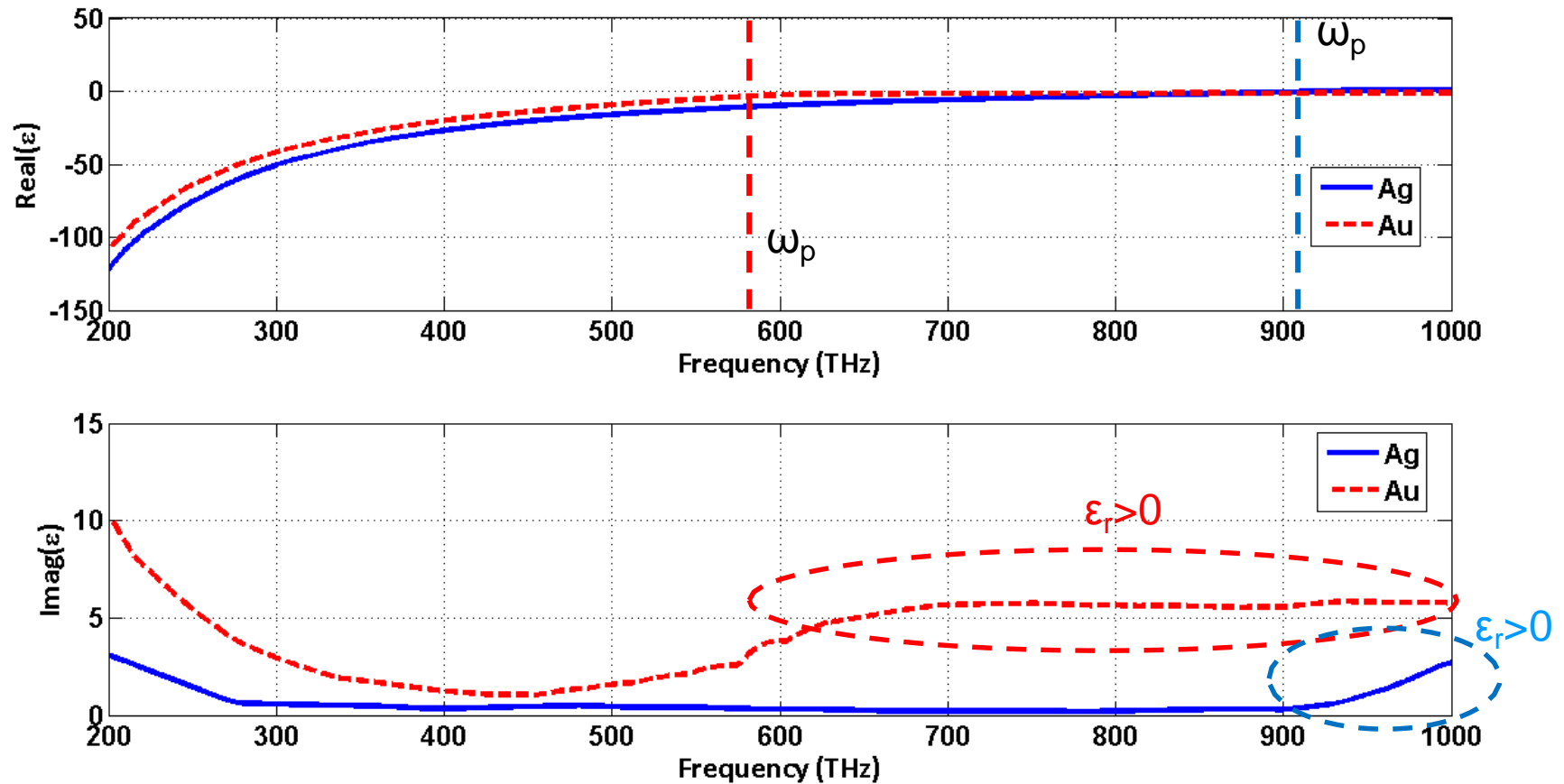


Fig. 25

SPP on Silver

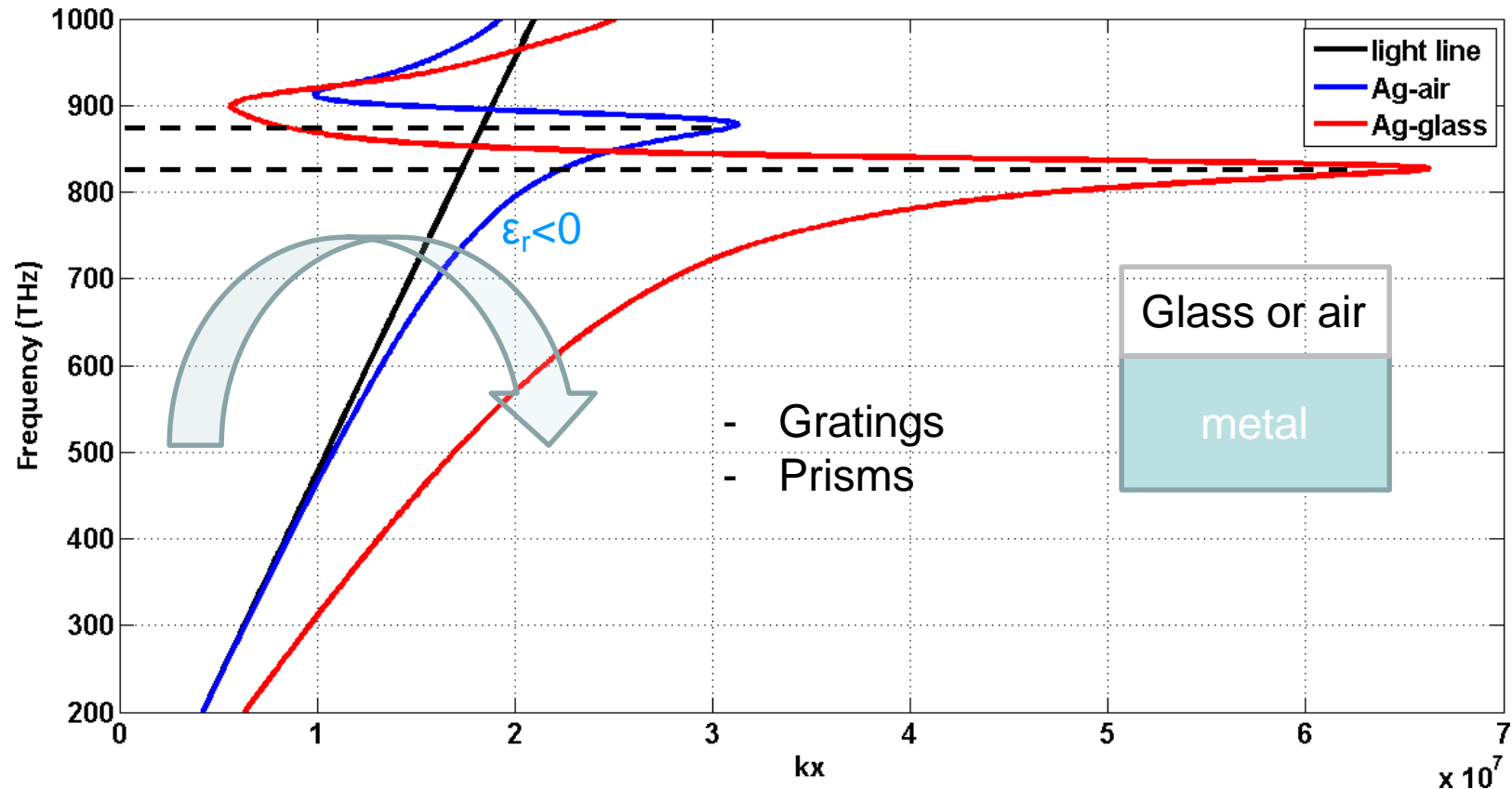
Interaction of Light with Matter

Plasmonics



Interaction of Light with Matter

Plasmonics



Interaction of Light with Matter

Plasmonics

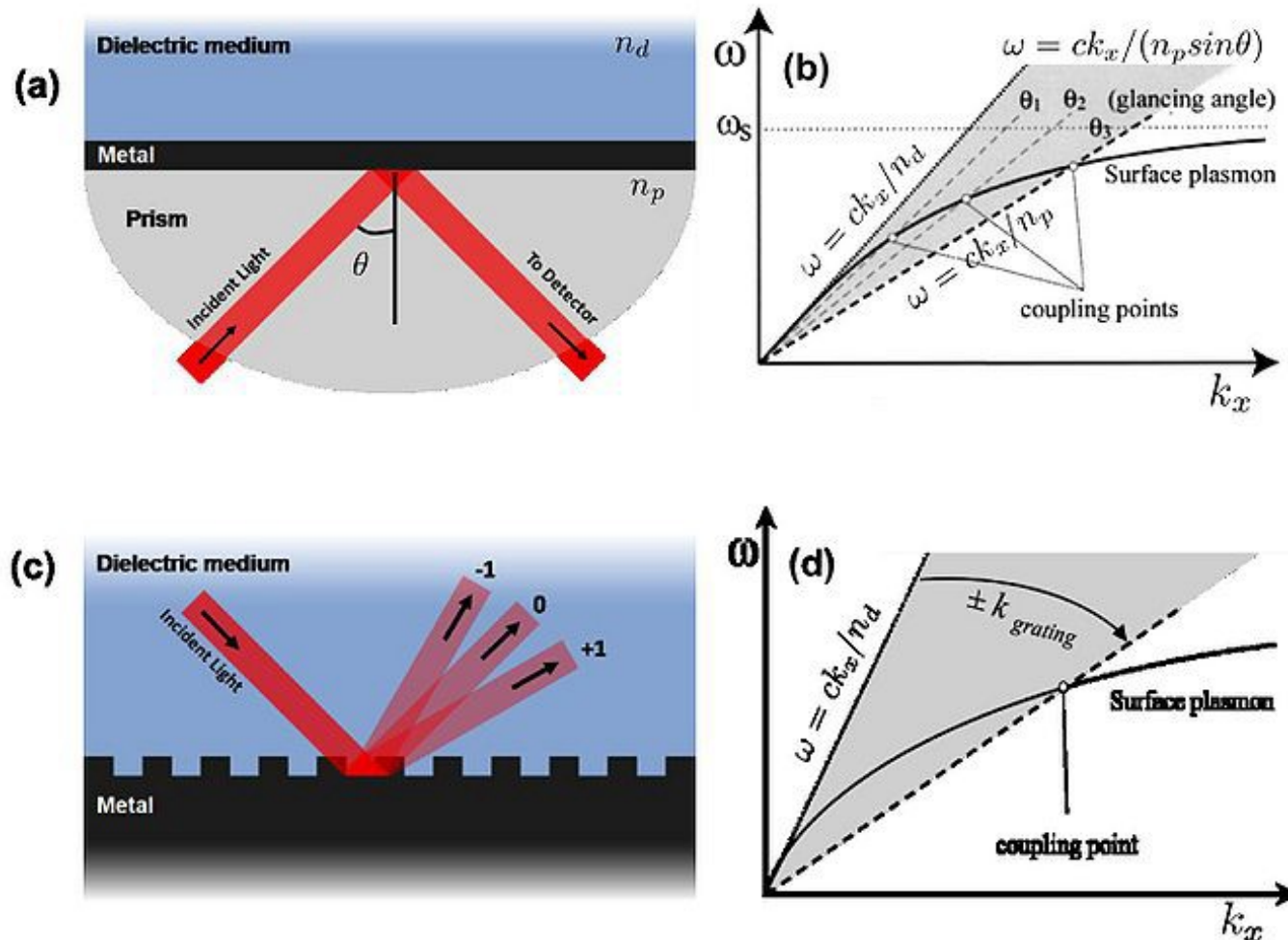


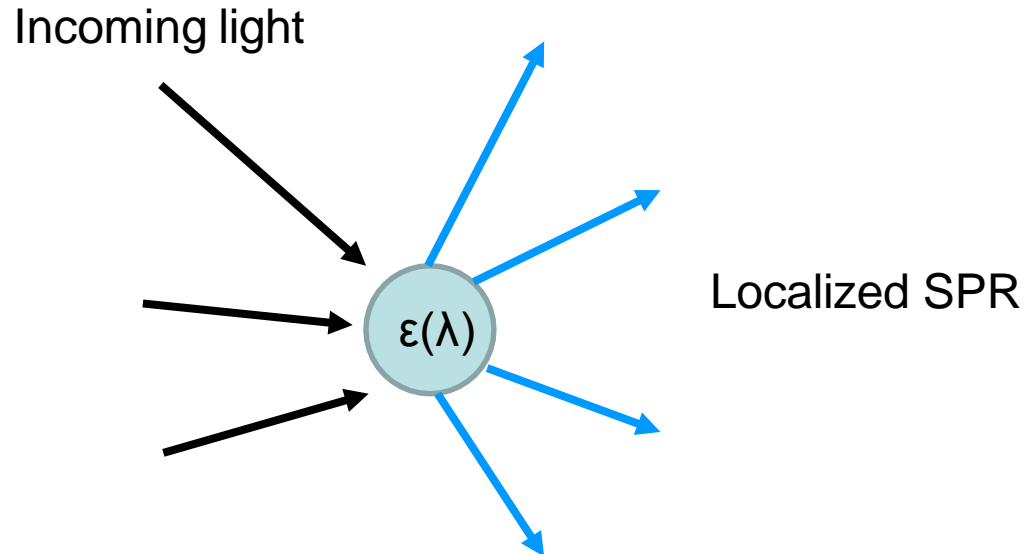
Fig. 26

Interaction of Light with Matter

Plasmonics / Mie Scattering



Fig. 27



Scattering efficiency: $Q(\lambda) = \frac{8}{3} \left(\frac{2\pi r}{\lambda} \right)^4 \left| \frac{\epsilon(\lambda) - 1}{\epsilon(\lambda) + 2} \right|^2$

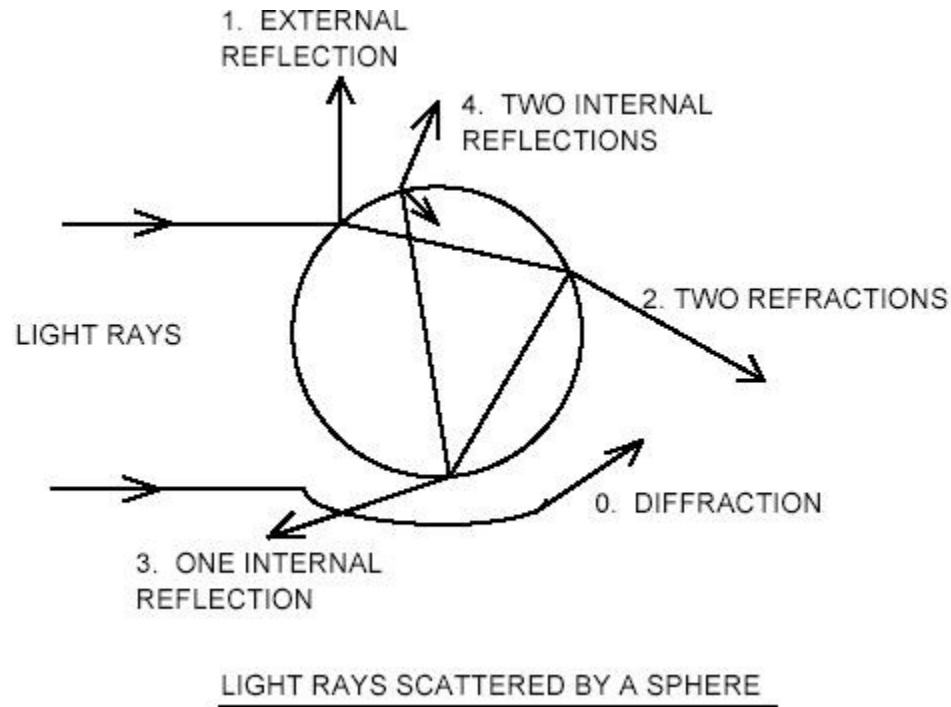
r : radius

- Fig. 27 taken from:

<http://eniyavaikooral.blogspot.com/search/label/%E0%AE%AE%E0%AE%B0%E0%AF%81%E0%AE%A4%E0%AF%8D%E0%AE%A4%E0%AF%81%E0%AE%B5%E0%AE%AE%E0%AF%8D#axzz3FPFmWpJb>

Interaction of Light with Matter

Mie Scattering



Dielectric spheres

Interaction of Light with Matter

Mie Scattering

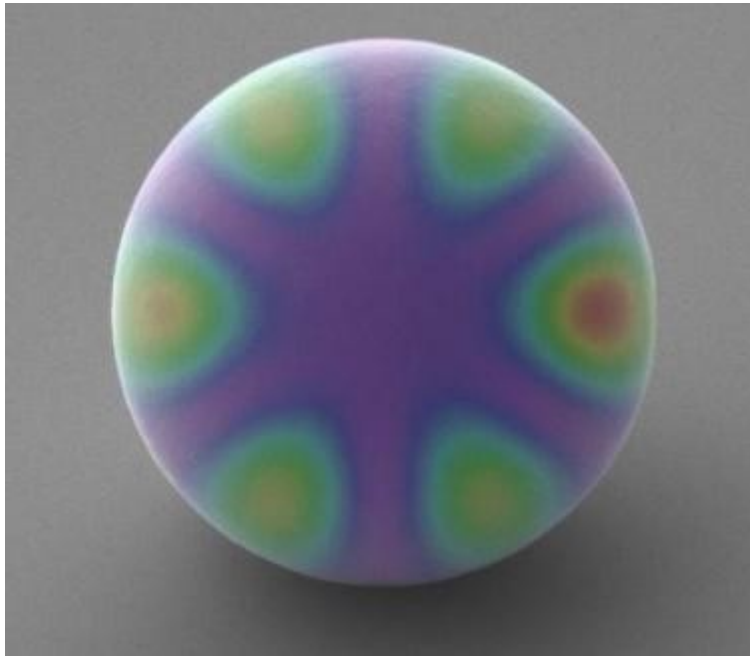


Fig. 28
Mie Resonances

$$r \leq \lambda$$

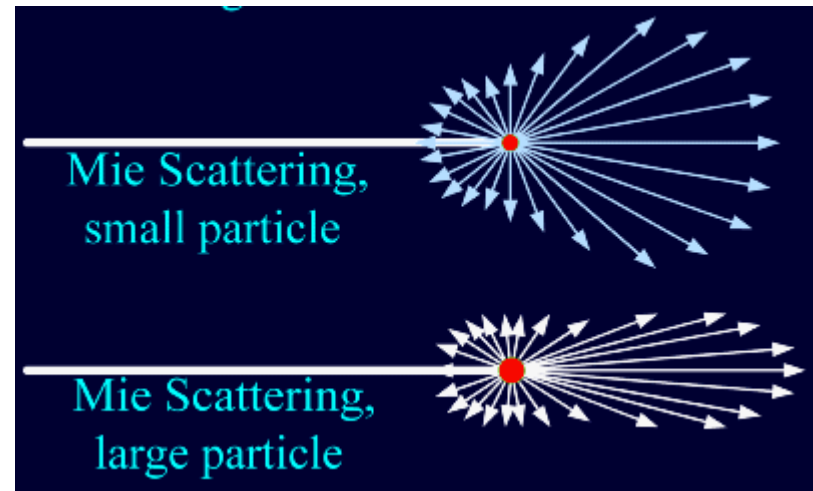


Fig. 29

-Fig. 28 taken from: <http://www.laserfocusworld.com/articles/2014/03/forming-silicon-into-microscopic-spheres-forces-it-to-absorb-infrared-light-enabling-better-photodetectors-and-solar-cells.html>

-Fig. 29 original at: <http://www.gamedev.net/topic/642193-what-causes-light-scattering-and-absorption/>